



The Best Place on Earth

Ministry of Finance

Internal Audit & Advisory Services

MEMORANDUM

To: Stuart Newton
Comptroller General
Ministry of Finance

July 29, 2011
File No.: 050119
Ref No.: 244530

From: Dan Peck
Director, Professional Practice
Investigation & Forensic
Internal Audit & Advisory Services

Subject: S15 Investigation Monitored by Internal Audit &
Advisory Services Branch – Closure of File

Introduction

We have completed our monitoring of the S15 investigation conducted by the Compliance and Enforcement Branch (CEB), Ministry of Forests, Lands and Natural Resource Operations (the ministry).

In S15 the ministry advised Internal Audit & Advisory Services that an investigation was underway into the alleged S15

S15

Dan Graham, former Director, CEB provided IAAS with regular updates throughout the investigation.

Summary of Findings

S15

S15, S17

Current Status

S14, S15

S14, S15

S15, S17

Conclusion

The CEB's investigation of such incidents falls within the ministry's mandate.

The ministry reported this serious matter to the Office of the Comptroller General, in accordance with the government's core policy requirements. Regular updates were also provided throughout the investigation.

S15

S14, S15

S15, S17

We are fully satisfied that the ministry is making every effort to recover the lost revenue and to prevent similar occurrences, as described below.

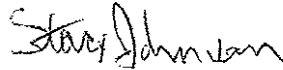
Actions Taken by the Ministry

The ministry has taken the following action following their investigation of this incident:

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-
-
-
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S15, S17

If you require additional information or clarification, please contact me at (250) 387-8542 or Stacy Johnson, Business Advisor, Investigations at (250) 356-7863.



for

Dan Peck
Director, Professional Practice
Investigation & Forensic
Internal Audit & Advisory Services

pc: Craig Sutherland
Assistant Deputy Minister and Executive Financial Officer
IM/IT and Transformation
Ministry of Forests, Lands and Natural Resource Operations

Terry Gelinas
Executive Director and Chief Financial Officer
Financial Services Branch
Ministry of Forests, Lands and Natural Resource Operations

Kevin Edquist
A/Director
Compliance and Enforcement Branch
Ministry of Forest, Lands and Natural Resource Operations

Chris Brown
A/Executive Director
Internal Audit & Advisory Services
Ministry of Finance



The Best Place on Earth

Ministry of Finance

Internal Audit & Advisory Services

MEMORANDUM

To: Stuart Newton
Comptroller General
Ministry of Finance

July 27, 2011
File No.: 050120
Ref No.: 244504

From: Dan Peck
Director, Professional Practice
Investigation & Forensic
Internal Audit & Advisory Services

Subject: S15 Investigation Monitored by Internal Audit &
Advisory Services Branch – Closure of File

Introduction

We have completed our monitoring of the S15 investigation conducted by the Compliance and Enforcement Branch (CEB), Ministry of Forests, Lands and Natural Resource Operations (the ministry).

In S15 the ministry advised Internal Audit & Advisory Services that an investigation was underway into the alleged S15

S15

Dan Graham, former Director, CEB provided IAAS with regular updates throughout the investigation.

Summary of Findings

S15

S15, S17

Current Status

S14, S15

Private and Confidential

Page 1

S15, S17

Conclusion

The CEB's investigation of such incidents falls within the ministry's mandate.

The ministry reported this serious matter to the Office of the Comptroller General, in accordance with government's core policy requirements. Regular updates were also provided throughout the investigation.

S15

S14, S15

S15, S17

In spite of the setbacks, we are satisfied the ministry is making every effort to recover the lost revenue and to prevent similar occurrences, as described below.

Actions Taken by the Ministry

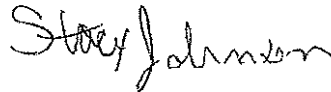
The ministry has taken the following action following their investigation of this incident:

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-
-

S15, S17

S15, S17

If you require additional information or clarification, please contact me at (250) 387-8542 or Stacy Johnson, Business Advisor, Investigations at (250) 356-7863.



for

Dan Peck
Director, Professional Practice
Investigation & Forensic
Internal Audit & Advisory Services

cc: Craig Sutherland
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