

CONFIDENTIAL  
14-11-13



Gaming Policy & Enforcement Branch  
Investigations & Regional Operations Div.

**INVESTIGATIONAL LOG: INV # 84635**

Re: s22 Suspicious Cash Transaction Penticton  
Casino

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2014-10-17

I received a Section 86 Report from the Penticton Casino reporting that a male patron entered the casino and he cashed in \$420 worth \$1 dollar and \$0.25 worth of coins. The patron was identified as being s22 of Penticton.

s22 claimed he wanted to play more than \$100 when only that amount was authorized to be exchanged. Ultimately all the coin was exchanged for bills however s22 stayed for 3 minutes and he only played \$10 before departing.

2014-11-12

I sent an e-mail to Tom PURGAS requesting that he run s22 on s15 for me.

s15 checks done on s22 do not reveal a history of criminal activity.

This file is to be concluded at this time.

A handwritten signature in black ink, appearing to read "Dean Hoffman".

Dean Hoffman



Print and Close

Cancel

## Gaming Information and Services

Know your limit, play within it.

### Occurrence Details

Help ?

#### Submission Information

* Status:	Created	Occurrence #:	80139
Assigned To:	DHoffman	* File Created:	Yes
Bring Forward Date:	15-Apr-2015	File #:	84635
* Source Type:	Section 86 - Manual	Form Date:	17-Oct-2014
* Region:	Interior	* Received Date:	17-Oct-2014
* Type:	General		

#### Complainant / Submitted By

##### ID Tag #:

##### \* Name

##### ID Tag #

##### Service Provider

Gateway Casinos &amp; Entertainment Limited

#### Occurrence Location

##### Site Location:

##### Site Location Other:

##### Occurrence Date/Time:

LCC - Penticton

15-Oct-2014 08:05 PM

#### Occurrence Details

##### Synopsis:

14-52262 - Suspicious Currency Transaction - suspect s22

##### Occurrence:

##### Details:

Suspicious Currency Transaction - suspect s22 cashed in \$420 in \$1 and \$0.25 rolled coin.

#### Section 86 Web Subjects

Subject Type	Name	Address Type	Address
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#### Subjects

Subject Type:	Subject Name	Address	Phone #
Subject of Complaint	s22		

**Counterfeit Details****Counterfeit Serial #:****Denomination:****Police Details****Police Called:**

No

**Police Force Name:****Investigating Officer Name:****Police File #:****Badge #:****IRIMS #:****I-Trak #:**

14-52262

**Exhibit Details****\* Exhibit Type:****Other Description:****\* # of Exhibits:****\* Total Value:****Seized:****Forfeit:**

\$

**All Exhibits Disposed:****Supporting Documents****\* Type:****\* Attach:**[Browse...](#)**Related Files****\* File #:****Related Occurrences**

File #	Occurrence #	Source Type	Created	Status
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**File Statistics****Scored By:** GPEB**Category:** UALG - Casino

**Cleared:**

- Administrative
- Alternate Resolution
- Charge CC
- Charge GCA
- Fines Levied
- Intelligence
- Other
- Police Assistance
- Restitution Ordered
- Unfounded
- Violation Ticket
- Warning - Verbal
- Warning - Written
- (I) Warning - Written/Verbal

**Offence:**

- Assault
- Cheat At Play
- Code of Conduct
- Counterfeit
- Fraud
- G.C.A.
- Loan Sharking
- Minors
- X Money Laundering (SCT's)
- Other
- Prohibited
- Prohibited - VSE
- Theft
- Threats





Print and Close

Cancel

## Gaming Information and Services

*Know your limit, play within it.*

### Individual Profile

Help ?

GBC ID: 475780

s22

Alert: s15

All fields with an asterisk (\*) must be completed.

#### Alerts

Change Alert:

s15

Reason:

s15

#### Name

\* First Name:

Middle:

Middle:

\* Last Name:

s22

#### Alias

\* Name Type:

Name:

#### Addresses

\* Address Type:

\* Effective Date:

Unit:

\* Street:

\* City:

\* Province/State:

British Columbia

\* Country:

Canada

\* Postal / Zip Code:

Address Type

Effective Date

Address

#### Phone Information

\* Phone Type:

Country  
Code\* Area  
Code:

\* Phone Number:

Ext.:

Select

## Other Information

Other Type:

Details:

## Details

Gender:

Date of Birth:

Marital Status:

Deceased:

s22

Place of Birth:

Citizenship:

Dual Citizenship:

Landed Immigrant Status:

Yes No

## Identification

Identification Type:

Identification Number:

Issued By:

## Applications

File #	ID Tag #	Employer	Status	Effective	Categories
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## Occurrences - Secured Files

Occurrence #:	File #:	Status:	Subject Type:
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## Occurrences - Unsecured Files

Occurrence #:	File #:	Status:	Subject Type:
80139	84635	Created	Subject of Complaint

## Comments

Comments:

## Attach Picture

Browse...

## Subject Summary

Name  
Date of Birth s22  
Age: Gender:  
Height: Weight: s22  
Hair Colour:  
Eye Colour: s22  
Race:  
Subject ID:  
BC Gold Card #:  
License #/Prov: s22  
Created: 10/15/2014 20:53:35  
Modified: 10/16/2014 12:30:55  
Owner s15  
Department Name: Security  
Traits:

Comments: 2014-OCT-15 LCC PEN - Cashed up hundreds of dollars in coin and left the casino moments later.

### Contact Information

Address s22  
Country CA  
HomePhone  
WorkPhone  
Email  
Occupation unknown  
Id Type Id Number

**Subject Summary****Incidents**

10/15/2014 20:21:13

Incident File #	Incident Type	Specific	Location	Sublocation
IN20140052262	Gaming	Unusual Financial Tra	Back of House-Pentic	Cash Cage

**Synopsis:** Male patron cashes in coin.**Details:** Male patron cashes in coin.

Created by: s15 10/15/2014 20:25:35 PM Modified by: s15 10/15/2014 20:36:17 PM

**Daily Total:** 1**Incident File Entry Total:** 1



## Supplemental Report

Printed: 11/06/2014 13:21:29

Printed By: s15

Supplemental Numb	Incident File Number	Date Attached	Attached By
SP20140144281	IN20140052262	10/15/2014 20:38:17	s15

**Description** SSM GINTHER observes transaction and creates subject file for s15

At approximately 20:05 hrs I was called to the cash cage.

I was informed that general manager Michael MAGNUSSON was speaking with patron (later identified as s22 who was in possession of hundreds of dollars of coin and who was requesting to have it changed in for bills.

As this is generally suspicious behavior, and against house policy to change such a high amount of coin into bills, MAGNUSSON decided to attend the scene personally and determine for himself whether he should approve such a transaction.

MAGNUSSON had requested I attend as well in case the situation escalates.

After a few moments of conversation between MAGNUSSON and s22 MAGNUSSON left the patron and waved for me to walk with him.

MAGNUSSON informed me he had approved the transaction but still felt the situation to be somewhat suspicious.

MAGNUSSON went on to explain that he had made sure we obtained s22 ID before the transaction had been completed so if we felt necessary to create an incident file we would have enough information for a completed subject profile.

I went on with my duties.

Approximately 10 minutes later I was informed by surveillance that s22 had played for less than 5 minutes before departing the casino with the bills we provided him.

I asked for this file to be created and also created a subject file for s22 as a way of tracking s22 behavior should he attempt this kind of suspicious transaction again.

Alex GINTHER - 61977  
SSM LCC PEN

SP20140144282	IN20140052262	10/15/2014 20:47:13	s15
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## Supplemental Report

Printed: 11/06/2014 13:21:29

Printed By: s15

Supplemental Number	Incident File Number	Date Attached	Attached By
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**Description** Surveillance time line of events:

19:54 - Patron approaches CD3 with rolls of coin. Cash cage personnel request GM MAGNUSSON, Mike to attend.

19:58 - MAGNUSSON, Mike approaches patron at CD2.

20:00 - Identification is obtained from patron. Patron then cashes in \$350 worth loonies and \$70 worth of quarters.

20:12 - Patron departs CD2.

20:13 - Patron approaches ASL 1-6-4 and begins to play.

20:16 - Patron departs ASL 1-6-4 and instantly heads out of the casino through the main entrance.

End of report.

SP20140144295	IN20140052262	10/15/2014 21:24:38	s15
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**Description** At approximately 1955hrs, I received a phone call from Cage Supervisor Megan SUMMERS informing me that a patron requested to cash in approximately \$400 in coin. I told SUMMERS that due to the amount of time it would take, I would approve converting up to \$100 from coin to bills. SUMMERS called me back shortly after and told me the customer was adamant that his entire amount of loonies and quarters be changed into bills.

I approached the cash cage, and met with patron s22 who told me s22 and believed our machines accepted coin s22 s22 I said that our slots only accepted bills and tickets, and offered to convert \$100 of his coin into bills. s22 stated that he wanted to play more than \$100, so after ensuring we had 2 cashiers on for at least the next 15 minutes and in the interest of customer service, I allowed the transaction to proceed given that s22 provide ID. s22 produced a BCDL, which SUMMERS scanned for iTrak so should it later be reported that the transaction is considered suspicious in nature, we would have a record of who received the bill.

I was later told that s22 had only played for approximately 3 minutes after the transaction was completed, which contradicts his statement to me that he wanted to play more than \$100 in the Casino.

Michael MAGNUSSON,  
General Manager  
GPEB 58058

SP20140144307	IN20140052262	10/15/2014 21:49:32	s15
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## Supplemental Report

Printed: 11/06/2014 13:21:29

Printed By: s15

Supplemental Number	Incident File Number	Date Attached	Attached By
<p><b>Description</b> At 1950 a patron approaches cash desk 3 and requests bills for his coin. At this time cashier FRITH, Breanne states that we are not able to change up that much coin. She calls me over and I assessed the situation and said the same to the customer. We asked the patron to step to the next window while we were waiting to hear from higher management on the situation, so that FRITH could continue helping those next in line.</p> <p>I decided to call the CSM, but was unable to get through on either line. I then called General Manager MAGNUSSON, Michael and told him about what was happening. At this point MAGNUSSON authorized us to change up \$100 worth of the coin for the customer. When I told this to the patron, he was unimpressed with the call. He requested to speak to the General Manager himself. I called MAGNUSSON back and he said he would be right over.</p> <p>After talking with the patron for a few moments, MAGNUSSON requested the patrons ID, which I took and scanned into the computer to have on file. I also looked up the patrons name, s22 in iTRAK to see if there had been any previous incidents for this patron. Nothing came up. I saved the scan and returned s22 ID to him.</p> <p>With the go ahead, I had cashier OH, Anthony cash up the coin at cash desk 2, where OH counted out every roll by hand to verify the quantities with in the rolls. First OH changed up 14 rolls of loonies, \$350.00, followed by 7 rolls of quarters for \$70.00.</p> <p>After receiving the money s22 headed out onto the gaming floor.</p> <p>Megan Summers Cage Supervisor 70116</p>			
SP20140144337	IN20140052262	10/15/2014 22:47:11	s15
<p><b>Description</b> With the assistance of the Games Manager, it was determined that the male patron had spent only \$10.00 in ASL#164.</p> <p>s15 s22</p>			
SP20140144649	IN20140052262	10/16/2014 12:57:05	s15
<p><b>Description</b> SCM - File Reviewed</p> <p>C. E. TUMMON - 68135 Security Compliance Manager</p>			
SP20140144793	IN20140052262	10/16/2014 19:23:20	s15

## Supplemental Report

Printed: 11/06/2014 13:21:29

Printed By: s15

Supplemental Number	Incident File Number	Date Attached	Attached By
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<b>Description</b>	Report was reviewed concerning s22 SID: s22 who attended the cage with rolls of coins which he wanted to change into bills. The GM spoke with s22 as a result. s22 provided a convincing explanation that he used coins at the casino in s22 and s22 that the slot machines here do not accept coins. He left the impression that he was going to game with the bills. The transaction was allowed based on his explanation.
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s22 exchanged 14 rolls of loonies worth \$350, and 7 rolls of quarters worth \$70 (total = \$420). Once he received his bills s22 only played \$10 and then left the casino with the remainder of the bills.

### Comments:

In my opinion s22 came to the casino with a clear intention of exchanging his coins into bills, and then leaving. He was able to exchange his coins because his story was convincing. The transaction was allowed in the spirit of customer service because s22 seemed genuine and he produced a BCDL without hesitation. However, in hindsight the casino was duped into exchanging his coin for bills.

s22 and his occupation is unknown. s22 does not have any previous casino history. s22 source for this quantity of coins is unknown, but there is no indication that criminality is involved. The circumstance are unusual, but the circumstances cannot be considered suspicious based on the entire circumstances. s22 simply used the casino to exchange his coins, where instead he should have been directed to the bank for such a transaction.

The circumstances do not meet the criteria for reporting to FINTRAC as a suspicious transaction for the reasons I stated above.

However, a form 86 is still required based on the circumstances.

I noticed that the report details have already been labeled as "substantiated". This drop down can only be used when a suspicious transaction report (STR) is submitted to FINTRAC. I have amended the report to "unsubstantiated" since an STR is not being submitted.

I also noticed that LCT 14-45013 was created for s22 \$420 transaction. LCTs are only submitted to FINTRAC for foreign exchanges over \$3,000 and transactions worth \$10,000 or more. I sent an email and asked the site to request that this LCT to be deleted. I also asked that a form 86 be submitted.

No further BCLC investigator action is being taken.

Mike HILLER

BCLC Casino Security Investigator

SP20140145034 IN20140052262 10/17/2014 07:26:34

s15

## Supplemental Report

Printed: 11/06/2014 13:21:29

Printed By: s15

Supplemental Numb	Incident File Number	Date Attached	Attached By
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Description	Report reviewed, writer concurs with Mike's report, file concluded.		
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D Tottenham BCLC AML Unit

SP20140155295 IN20140052262 11/06/2014 13:20:57 s15

Description	All supplementals and Subject profiles emailed to Dean HOFFMAN, GPEB at his request.		
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Pages 14 through 18 redacted for the following reasons:

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s15, s22

**Gaming Policy and Enforcement  
Investigations and Regional Operations Division**

**SECTION 86 G C ACT REPORT**

**To be submitted without delay.**

**CONFIDENTIAL**

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**Date:** Friday October 17, 2014

**Service Provider:** Lake City Casino

**Location:** Penticton

**Occurrence:** Patron exchanges coin, does not play - iTrak#20140052262

**Date & Time of Occurrence:** Oct 15, 2014 @ 20:05

**Details:** As requested by BCLC Investigator. - On the date and time noted a patron, identified as s22 wished to cash in \$420 in \$1 and \$0.25 rolled coin. He claimed he wanted to play more than \$100 when only that amount was authorized to be exchanged. Ultimately all coin was exchanged for bills. However the male only stayed 3 minutes and played \$10 before departing.

**Police Called:** Yes ☐ No ☒

**Attended:** Yes ☐ No ☐

**Police Force:**

**File Number:**

**Investigating Officer(s) & Badge Number(s):**

**Submitted by:**

**GPEB Registration #:** 59854

**E-Mail SGGPEB86ReportingInterior@gov.bc.ca**

## Streleoff, Kari J FIN:EX

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**From:** Surveillance Penticton s17  
**Sent:** Friday, October 17, 2014 9:22 AM  
**To:** Chris Sotvedt; Craig Longley; Glen Richdale; s17 Hoffman, Dean J  
**Subject:** FIN:EX; 86 Reporting Interior, GPEB  
**Attachments:** Patron exchanges coin-does not play-20140052262  
Oct 17.docx

One 86 on male who exchanges coin, does not play.

Chris Sotvedt  
Surveillance Manager  
Gateway Casinos & Entertainment Ltd  
Lake City Casinos  
21 Lakeshore Dr. W.  
Penticton, BC, V2A 7M5

T: 250 487 1280

s17

[www.lakecitycasinos.com](http://www.lakecitycasinos.com)

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