

Page 001 to/à Page 009

Withheld pursuant to/removed as

s.12



***Freedom of Information and Protection of Privacy Act (FOIPPA)*** – The personal information on this form is collected for the purpose of administering a variety of statutes that authorize the appointment of individuals to public sector organizations under the authority of section 26(c) of the FOIPPA. Questions about the collection or use of this information can be directed to the Crown Agencies and Board Resourcing Office by email at [abc@gov.bc.ca](mailto:abc@gov.bc.ca) or by telephone at 604 660-0465.

### Business and Structure

The Financial Institutions Commission (the Commission) oversees significant regulatory decisions and actions of the Office of the Superintendent of Financial Institutions (FICOM). As an organization, FICOM administers balanced and proactive regulation of British Columbia's financial services sector to protect the public from undue loss and unfair market conduct and is responsible for regulating and supervising BC's financial institutions (including credit unions, insurance and trust companies), mortgage brokerage and pension plans. FICOM also oversees the Credit Union Deposit Insurance Corporation (CUDIC).

As an organization, FICOM is responsible for administering the following statutes that regulate the financial services sector in British Columbia:

- *Credit Union Incorporation Act (CUIA)*
- *Financial Institutions Act (FIA)*
- *Insurance Act (IA)*
- *Pension Benefits Standards Act (PBSA)\**
- *Mortgage Brokers Act (MBA)\**
- *Insurance (Captive Company) Act (ICCA)*

*\* The Commission has no statutory authority under the MBA or the PBSA.*

The primary focus of the regulation is to ensure that:

- Institutions remain solvent;
- Market conduct requirements for the sector are respected;
- Unsuitable individuals do not gain control of financial institutions; and
- Credit union deposits and non-equity shares are insured through the Credit Union Deposit Insurance Corporation (CUDIC).

**Strategic Direction**

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In August 2018, the provincial government announced its intention to transition FICOM from core government to an arms-length Crown agency. The new agency will maintain responsibility for regulation of mortgage brokers, insurance and trust companies, pensions and credit unions. It will be operationally independent, yet accountable to government, and funded by industry. This change has large organization-wide implications as well as an expanded role for the Commission. Establishing a new Crown agency will make sure depositors' financial interests are protected by a modern, efficient and effective regulator, bring BC in line with international standards for financial regulators and help attract and retain the best talent in this competitive sector.

As with most other financial regulators, FICOM has moved toward a more risk-based regulatory framework. This transition along with the recent pace of change in financial regulatory standards resulting from the global financial challenges provides for an environment that is complex, challenging and continually evolving.

FICOM uses the risk-based supervisory framework to identify imprudent or unsafe business practices and intervenes on a timely basis, as required. The focus is on early identification of risk and the allocation of resources to institutions and plans with the highest risk profile.

**Vacant Position(s)**

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**Experience and Qualifications**

While previous experience as a director is not required, it is important that candidates for positions understand the roles and responsibilities of a member of a board and have the necessary experience and demonstrated skills to enable them to contribute to board decision-making and oversight.

Part of the organization's commitment to good governance includes the provision of a comprehensive orientation for new board members and ongoing professional development for new members.

**Diversity and Inclusion**

People from all regions of our province are invited to help renew B.C.'s public sector boards. Consideration will be given to qualified individuals with a broad range of backgrounds in community, labour and business environments. The selection process will recognize lived experience and volunteer roles as well as paid employment and academic achievements.

To support strong boards that reflect the diversity of our province, women, visible minorities, Indigenous Peoples, persons with disabilities, persons of diverse sexual orientation, gender identity or expression (LGBTQ2S+), and others who may contribute to diversity in public sector board

appointments are encouraged to put their names forward for appointments.

**Competencies/Attributes**

There are currently up to 4 vacancies on the Board. The following are the attributes sought for the positions currently under consideration. In addition to experience or familiarity with regulatory decision making and administrative law, applicants should possess technical experience and competencies relevant to the following industries:

- credit union;
- mortgage broker;
- insurance and trust; or
- pensions

Apart from relevant industry experience, expertise in the following areas would be an asset:

- corporate governance
- risk management;
- HR (including executive performance management);
- public sector knowledge;
- financial expertise (including internal control and accounting);
- legal expertise; and
- operations.

**Time Commitment**

The Commission typically annually schedules between 6-10 meetings. However, during this time of transition, additional workshops related to Crown establishment are scheduled, totalling to approximately one meeting per month. Members are expected review background and briefing materials in advance of each meeting.

**Term**

In accordance with section 3 of the *Administrative Tribunals Act*, Commission members are typically appointed for an initial term of 2 years. Commission members may be eligible for reappointment however, reappointments are not guaranteed. Lengths of terms may be adjusted to ensure optimal succession planning and staggering of appointments



**Compensation**

In accordance with Treasury Board Directive 1/17, Commission Members are compensated on a per diem basis. The per diem rate range is currently \$500 - \$575 per day.

**Governance Structure**

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Commission members are appointed by the Lieutenant Governor in Council on recommendation of the Minister of Finance under the *Financial Institutions Act*. They are responsible for making certain decisions under the *Financial Institutions Act* and the *Credit Union Incorporation Act*.

Section 202 of the *Financial Institutions Act* requires the Commission to be comprised of at least 2 but not more than 11 members. Members include the Deputy Minister of Finance (or his/her designate) and other members, appointed by the Lieutenant Governor in Council, including a Chair and Vice-Chair.

The Lieutenant Governor in Council appoints the Superintendent of Financial Institutions following consultation with the Commission Chair. The Superintendent administers day-to-day regulatory and supervisory decisions that enable FICOM to meet its statutory obligations set out in the FIA, CUIA, and IA while the CEO of FICOM is responsible for the operations of the organization. In addition to exercising powers and performing duties imposed under the legislation, the Superintendent exercises powers and carries out duties delegated by the Commission.

**Commission Responsibilities and Accountabilities**

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**Role**

Commission members make major regulatory enforcement decisions as well as decisions about incorporations, business authorizations, amalgamations, liquidations and wind-ups of financial institutions in BC. In addition, they make decisions about credit union stabilization, supervision and deposit insurance. Unless otherwise specified by the Lieutenant Governor in Council, Commission members are also Directors of CUDIC, the deposit insurance provider for BC credit unions. In this dual capacity the Commission members and CUDIC Directors make distinct decisions such as investment policy, target fund size and the annual assessments charged to credit unions to maintain the CUDIC deposit insurance fund.

During FICOM's transition to becoming a Crown agency, the Commission is also actively involved in working with FICOM senior management and the Ministry of Finance in setting the strategic direction for the new regulator.

**Responsibilities**

In addition to the regulatory decisions, members establish the processes and structures necessary to ensure the effective functioning and renewal of the Commission. This includes: monitoring and improving the quality of the Commission; ensuring appropriate member orientation and ongoing professional development; articulating roles and responsibilities for the Commission and chair individual members; defining process and guidelines; evaluating the Commission, directors and chair; and identifying potential director candidates.

**Accountabilities**

FICOM is a self-funded regulatory agency under the Ministry of Finance and as such, it is subject to core government's performance and reporting guidelines and standards. The organization is accountable to the Minister of Finance for its operations and the Commission is accountable to the Lieutenant Governor in Council for its regulatory decisions.

In carrying out its work, the Commission operates within the broad policy direction set by the Ministry of Finance.

**Board Composition**

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The individuals who make up the Commission should each have the necessary personal attributes and collectively possess competencies required to fulfil their statutory role, make regulatory decisions, provide advice and direction to the Superintendent and contribute to the transition in establishing the new Crown agency and its board.

**Personal Attributes**

All directors should possess the following personal attributes:

- high ethical standards and integrity in professional and personal dealings;
- appreciation of the responsibilities to the public;
- able and willing to raise potentially controversial issues in a manner that encourages dialogue;
- flexible, responsive and willing to consider others' opinions;
- capable of a wide perspective on issues;
- ability to listen and work as a team member;
- no direct or indirect conflict of interest with the member's responsibility to the organization;
- strong reasoning skills;
- able and willing to fulfill time commitment required to carry out responsibilities;
- may include personal attributes relevant to organization; and

- commitment to continuous learning about the organization and the relevant sector or industry.

### Competencies

Collectively, the Commission should comprise the following core competencies:

- knowledge of and experience with current and emerging issues affecting the organization and its industries.
- operational or technical expertise relevant to the operation of the organization including:
  - strategic management and organizational change,
  - operations,
  - internal control and accounting,
  - technology,
  - communications,
  - public sector administration,
  - human resources,
  - labour relations, and
  - risk management.
- financial expertise;
- legal expertise;

### List of Current Commissioners and Senior Executives

	<b>First Appointed</b>	<b>Term Ends</b>	
<b>Appointed:</b>			
Dr. Stanley Hamilton (Chair)	December 19, 2014	December 31, 2019	
Michael Charles Grist	June 26, 2018	June 26, 2020	
Jacqueline Ann Kelly	June 26, 2018	June 26, 2020	
Gerald David Matier	June 26, 2018	June 26, 2020	
Shannon Salter	December 3, 2010	December 31, 2019	
Wilma Simone van Norden	June 26, 2018	June 26, 2020	
Joel J. Whittemore	December 3, 2010	December 31, 2019	
<b>Ex-officio (if applicable)</b>			

## Notice of Position

Financial Institutions Commission

February 2019

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Lori Wanamaker , Deputy Minister of Finance	May 2017		
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### Senior Executives:

Tara Richards, Interim CEO

Frank Chong, Acting Superintendent of Financial Institutions

### Process for Submitting Expressions of Interest

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You may submit an Expression of Interest in serving on this board by clicking on the “Apply Online Now” button at the bottom of this page. For more information on the board, refer to the **Directory of Agencies** website.

### British Columbia Appointment Guidelines

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Appointments to British Columbia’s public sector organizations are governed by written appointment guidelines.

**Board: Financial Institutions Commission / BC Financial Services Authority (OIC)**

**ISSUE:** The Commission may have up to 11 members; there are currently up to four vacancies. As BCFSa will be a separate legal entity from FICOM, all existing FICOM board members will also need to be appointed as BCFSa board members with fresh term dates and lengths (between two to four years). Under the proposed legislation, the BCFSa board structure will remain the same as FICOM's and will have a maximum of 11 members appointed by OIC. s.12

s.12

s.12

The target Cabinet meeting for the BCFSa appointments is May 29, 2019 s.12

s.12

The BCFSa's enabling legislation will be brought into force in two phases. The first phase (May 29) will enable the BCFSa board to establish itself as a separate legal entity and perform start-up duties. The second phase (fall) will transfer full regulatory powers from FICOM to the BCFSa and dissolve FICOM. The FICOM board and the BCFSa board will co-exist until this time.

Page 018 to/à Page 026

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## **Background Note:**

### **Overview:**

The Financial Institutions Commission (FICOM) has regulatory responsibility for financial institutions (credit unions, insurance and trust companies):

Credit Unions and Trusts: regulates credit unions and trust companies under the Financial Institutions Act and the Credit Union Incorporation Act, and administers deposit insurance for credit unions through the Credit Union Deposit Insurance Corporation.

Insurance: responsible for the regulation of insurers under the Financial Institutions Act.

### **Mandate:**

Font The Financial Institutions Commission is a regulatory agency of the provincial Ministry of Finance. FICOM (the agency) is responsible for administering 6 statutes that regulate the pension, and financial services sectors in British Columbia. The primary focus of this regulation is to ensure that:

- institutions and pension plans in these sectors remain solvent;
- market conduct requirements for these sectors are respected; and
- unsuitable individuals do not participate in financial service markets.

### **Composition:**

The Commission consists of at least two, but not more than 11 members. Members are appointed by the Lieutenant Governor in Council and must include: one member as the Chair, the Deputy Minister of Finance, and any additional members upon consultation with the Chair. The Lieutenant Governor in Council may also designate one member of the Commission to be the Vice Chair upon consultation with the Chair.

### **Compensation:**

In accordance with the Treasury Board Directive 1/17.

**Board: Financial Institutions Commission / BC Financial Services Authority (OIC)**

**ISSUE:** The Commission may have up to 11 members; there are currently up to four vacancies. As BCFSa will be a separate legal entity from FICOM, all existing FICOM board members will also need to be appointed as BCFSa board members with fresh term dates and lengths (between two to four years). Under the proposed legislation, the BCFSa board structure will remain the same as FICOM's and will have a maximum of 11 members appointed by OIC. s.12

s.12

s.12

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s.12

s.12 at the same Cabinet meeting. The BCFSa's enabling legislation will be brought into force in two phases. The first phase (May 29) will enable the BCFSa board to establish itself as a separate legal entity and perform start-up duties. The second phase (October) will transfer full regulatory powers from FICOM to the BCFSa and dissolve FICOM. The FICOM board and the BCFSa board will co-exist until this time.



Page 029 to/à Page 034

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s.12;s.22

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### **Compensation:**

In accordance with the Treasury Board Directive 1/17.

**Board: Financial Institutions Commission**

**Issue:** The Commission may have up to 11 members; there are currently up to four vacancies on the Commission.

FICOM underwent an independent review of its governance and structure in 2017. As a result, government has confirmed its intention to transition FICOM to a Crown Corporation in the Spring of 2019 and expand its mandate to include the real estate and credit union industries, which are currently not adequately represented on the Commission.

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The Commission developed a competency matrix for consideration. CABRO is refining that matrix in consultation with the current CEO.

As the new Crown Corporation will be a separate legal entity, all existing board members who transition over to the new Crown board will also need to be appointed as new members. Under the proposed legislation, the Crown board will also have a maximum of 11 members and be appointed by OIC.

Page 037 to/à Page 039

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## **Background Note:**

### **Overview:**

The Financial Institutions Commission (FICOM) has regulatory responsibility for financial institutions (credit unions, insurance and trust companies):

**Credit Unions and Trusts:** regulates credit unions and trust companies under the Financial Institutions Act and the Credit Union Incorporation Act, and administers deposit insurance for credit unions through the Credit Union Deposit Insurance Corporation.

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### **Composition:**

The Commission consists of at least two, but not more than 11 members. Members are appointed by the Lieutenant Governor in Council and must include: one member as the Chair, the Deputy Minister of Finance, and any additional members upon consultation with the Chair. The Lieutenant Governor in Council may also designate one member of the Commission to be the Vice Chair upon consultation with the Chair.

### **Compensation:**

In accordance with the Treasury Board Directive 1/17.



**CANDIDATE PROFILE  
AND DECLARATION**  
*for Governing Boards*

**INSTRUCTIONS**

- To save the completed form, choose File > Save As Other > Reader Extended PDF > Enable More Tools (Includes Form Fill-in & Save).
- For you to be considered for appointment to a governing board of a British Columbia public sector organization, the Crown Agencies and Board Resourcing Office is required to collect your personal information.
- The "Organization" is the entity to which you are applying to serve.
- If you require more space, attach a separate sheet.
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**Enter the name of the Organization you are applying to serve on the board of:**

BC Financial Services Authority

**PART A – PERSONAL INFORMATION**

FULL LEGAL NAME (include first, middle and last name)

PREFERRED NAME (if different than full legal name)

Joel John Patrick Whittemore

Joel Whittemore

s.22

**PART B – BACKGROUND INFORMATION**

1. Educational background (provide a chronology):

INSTITUTION	DEGREE/DIPLOMA OBTAINED	TERM ATTENDED (MMM/YYYY)	
		FROM	TO

s.22

2. Professional and employment background (provide a chronology):

ORGANIZATION	POSITION	TERM OF SERVICE (MMM/YYYY)	
		FROM	TO

s.22

3. Board directorship/community/volunteer activities (*provide a chronology*):

ORGANIZATION	POSITION	TERM OF SERVICE (MMM/YYYY)	
		FROM	TO

s.22

4. Professional designations/memberships in professional organizations (*provide a chronology*):

PROFESSIONAL ORGANIZATION	DESIGNATION ( <i>if applicable</i> )	TERM OF MEMBERSHIP (MMM/YYYY)	
		FROM	TO

s.22

5. Are you currently involved in lobbying activity? s.22

If **YES**, complete the following table.

NAME OF CLIENT	UNDERTAKING START AND END DATES (MMM/YYYY)	
	FROM	TO

s.22

6. Have you authored any published works? (*includes books, articles, blogs, professional journals*): s.22  
If **YES**, please list below. If you require more space attach a separate document.

PUBLICATION	PUBLICATION DATE	HYPERLINK (IF AVAILABLE)
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s.22

**PART C – FINANCIAL ACUMEN**

Indicate if you have previous experience with each of the following:

1. Reading and interpreting financial statements s.22
2. Reading and interpreting budgets
3. Familiarity with audit reporting
4. Service in the capacity of a treasurer or finance committee member or chair

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**PART D – CONFLICT OF INTEREST: DISCLOSURE STATEMENT**

A conflict of interest exists for a board member who has a private or personal interest that appears to influence the board member's judgement in making decisions in the Organization's best interest.

A conflict of interest may take a number of forms:

- Financial or non-financial;
- Direct or indirect; and
- Professional or family related.

A conflict of interest may arise from:

- Employment or board appointments;
- Professional practices including consultative services;
- Financial interests in business enterprises;
- Share ownership;
- Beneficial interests in trusts;
- Private equity interests;
- Real estate property interests;
- Existing or proposed transactions with the Organization;
- Holding elected office;
- Existing professional or personal associations with the Organization;
- Personal associations with other groups or organizations; and
- Family relationships (a connection by blood, by marital or common law relationship, or by affinity).

Every appointee or potential appointee to the Organization must disclose any obligation, commitment, relationship, or interest that might conflict or might be perceived to conflict with his or her duty or interest to the Organization.

Additional information regarding the conflict of interest assessment and the ethical code of conduct is available at: **General Conduct Principles for Public Appointees**

Below, describe any real and/or perceived conflicts of interest with the Organization:

s.22

s.22



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## PART E – CHARTER OF EXPECTATIONS FOR APPOINTEES TO GOVERNING BOARDS

Board Appointees owe the Organization they serve a commitment to making the best possible decisions. Appointees must carefully adhere to these principles and responsibilities. They must strive for high standards of accountability and fiduciary duty.

### PRINCIPLES

#### Compliance

- You must ensure compliance with all accounting and audit principles;
- Take all necessary and reasonable measures to ensure compliance with laws, regulations and policies that apply to the Organization; and
- Know the Organization's mandate.

#### Accountability

- You must ensure the accuracy of financial information;
- Base your decisions upon facts and reliable information; and
- Properly inform yourself before taking action.

#### Integrity

- In making decisions, you must always act in the best interests of the Organization;
- Ensure integrity in all dealings with and on behalf of the Organization, including via social media platforms;
- Maintain the confidentiality of information received by you in your capacity as board member both during and after your appointment;
- Maintain the ongoing responsibility to disclose real or perceived conflicts of interest; and
- Avoid real or perceived conflicts between your own private interests and the best interests of the Organization.

### RESPONSIBILITIES

#### Strategic Planning

- Provide input to management on emerging trends and issues; and
- Review and approve management's strategic plans, including significant capital allocations and expenditures.

#### Performance Monitoring and Reporting

- Monitor corporate performance against strategic and business plans; and
- Evaluate corporate performance reporting.

#### Organizational Leadership

- If legislation allows, appoint, monitor and evaluate the performance of the CEO/President;
- If legislation allows, replace CEO/President if necessary; and
- Ensure succession planning.

#### Risk Assessment

- Identify principal risks to the Organization's operations and ensure that appropriate systems are in place to manage and monitor these risks; and
- Review and approve material transactions not in the ordinary course of business.

#### Public Policy

- Understand the significance of the role of the Organization as an instrument of public policy; and
- Monitor performance of the Organization in relation to the strategic objectives of the shareholder.

#### Governance

- Ensure the Organization aligns with the taxpayer accountability principles;
- Establish appropriate governance structures to ensure efficient and prudent stewardship of the Organization;
- Assess the board's own effectiveness including monitoring the effectiveness of individual board members; and
- Participate as appropriate on board committees including: Audit, Finance, Risk Management, Governance and Human Resources.

I certify that I have read the above Charter and agree to abide by it for the duration of my service.

☒ I AGREE

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**PART F – INTEGRITY AND PUBLIC ACCOUNTABILITY**

**NOTE:** An affirmative answer to any of the questions below does not automatically disqualify an applicant from being appointed. Each candidate's background will be considered in relation to the specific requirements of the appointment.

1. In your employment, business or personal affairs, have you, or any company in which you have a direct or indirect controlling interest, in B.C. or elsewhere:

s.22

- (a) Been charged with or convicted of an offence under the Criminal Code of Canada?
- (b) Been charged with or convicted of an offence under any other Federal statutes or regulations, including the *Income Tax Act*, the *Controlled Drugs and Substances Act* or others?
- (c) Been the defendant of any civil action in which allegations of fraud, theft or defamation were made against you?
- (d) Have any outstanding charges against you, including civil action?
- (e) Been charged with or convicted of any offence under any Provincial statutes or regulations?
- (f) Been cited with or disciplined, censured, suspended or disqualified by any professional association or body?
- (g) Had any improper dealings with government (e.g. improper solicitation of contracts, improper lobbying or representations)?
- (h) Been involved in any issue or controversy that has gone, or is likely to go, to litigation or public review?
- (i) Made an assignment or lodged a proposal under the *Bankruptcy and Insolvency Act*?
- (j) Been discharged, suspended or asked to resign from any employment?

If **YES**, please describe:

s.22

2. Have you or any organization or group that you are associated with promoted or encouraged hatred against people or persons on the basis of ethnicity, language, colour, religion, culture, gender or sexual orientation?

If **YES**, please describe:

s.22

3. Generally, are you aware of any facts or matters which, if publicly disclosed, could cause the government embarrassment or hinder your performance of your duties as a board member?

If **YES**, please describe:

s.22

4. The Crown Agencies and Board Resourcing Office is responsible for ensuring awareness of all relevant information related to potential appointees. We are asking you to use this section to disclose any issues that may be of public interest in the event you are appointed to serve. Such issues can include civil lawsuits, criminal charges or convictions.

Below, describe any issues: s.22

s.22

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#### PART G – BIOGRAPHY

I agree that if I am appointed to serve, the Crown Agencies and Board Resourcing Office (CABRO) and the Organization may publish a biography of me. Please include a 200 word biography below:

s.22

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#### PART H – REFERENCES (OPTIONAL)

Please provide a minimum of two references:

NAME	TITLE	CONTACT NUMBER	EMAIL ADDRESS
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s.22

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#### PART I – DECLARATION

I understand that the Crown Agencies and Board Resourcing Office and the Organization may verify relevant information with respect to all candidates for potential appointments.

By signing below, I authorize the Crown Agencies and Board Resourcing Office to verify or obtain any personal information about me directly from organizations or references referred to in this form and from any person, government education institution, police force, military authority or governing body for the purpose of evaluating my ability to serve. I also consent to the disclosure of my personal information to such persons or organizations when such disclosure is necessary to evaluate my suitability for appointment.

If, at any time following the signing of this form, there are changes to the information given herein regarding conflict of interest, or any other part of this form, either by way of addition or deletion, within 14 calendar days of this change, I will submit an updated Candidate Profile and Declaration form to the Crown Agencies and Board Resourcing Office an describing such change.

I solemnly promise that the information provided is true and complete.

I acknowledge and agree to adhere to the Crown Agencies and Board Resourcing Office Best Practices Guidelines.

SIGNATURE (type your name to sign electronically)

Joel Whittemore

DATE SIGNED  
DD / MMM / YYYY

06/MAY/2019

Clear Form

Submit Form

**CANDIDATE PROFILE  
AND DECLARATION**  
*for Governing Boards***INSTRUCTIONS**

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BC Financial Services Authority

**PART A – PERSONAL INFORMATION**

FULL LEGAL NAME (include first, middle and last name)

Charles Michael Grist

PREFERRED NAME (if different than full legal name)

Michael

RESIDENTIAL ADDRESS (include street or PO box)

s.22

CITY

PROVINCE

POSTAL CODE

**PART B – BACKGROUND INFORMATION**

1. Educational background (provide a chronology):

s.22

INSTITUTION

DEGREE/DIPLOMA OBTAINED

TERM ATTENDED (MMM/YYYY)

FROM

TO

2. Professional and employment background (provide a chronology):

s.22

ORGANIZATION

POSITION

TERM OF SERVICE (MMM/YYYY)

FROM

TO

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**PART C – FINANCIAL ACUMEN**

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- Direct or indirect; and
- Professional or family related.

A conflict of interest may arise from:

- Employment or board appointments;
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- Share ownership;
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- Private equity interests;
- Real estate property interests;
- Existing or proposed transactions with the Organization;
- Holding elected office;
- Existing professional or personal associations with the Organization;
- Personal associations with other groups or organizations; and
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Additional information regarding the conflict of interest assessment and the ethical code of conduct is available at: **General Conduct Principles for Public Appointees**

Below, describe any real and/or perceived conflicts of interest with the Organization: s.22

s.22

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## PART E – CHARTER OF EXPECTATIONS FOR APPOINTEES TO GOVERNING BOARDS

Board Appointees owe the Organization they serve a commitment to making the best possible decisions. Appointees must carefully adhere to these principles and responsibilities. They must strive for high standards of accountability and fiduciary duty.

### PRINCIPLES

#### Compliance

- You must ensure compliance with all accounting and audit principles;
- Take all necessary and reasonable measures to ensure compliance with laws, regulations and policies that apply to the Organization; and
- Know the Organization's mandate.

#### Accountability

- You must ensure the accuracy of financial information;
- Base your decisions upon facts and reliable information; and
- Properly inform yourself before taking action.

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- In making decisions, you must always act in the best interests of the Organization;
- Ensure integrity in all dealings with and on behalf of the Organization, including via social media platforms;
- Maintain the confidentiality of information received by you in your capacity as board member both during and after your appointment;
- Maintain the ongoing responsibility to disclose real or perceived conflicts of interest; and
- Avoid real or perceived conflicts between your own private interests and the best interests of the Organization.

### RESPONSIBILITIES

#### Strategic Planning

- Provide input to management on emerging trends and issues; and
- Review and approve management's strategic plans, including significant capital allocations and expenditures.

#### Performance Monitoring and Reporting

- Monitor corporate performance against strategic and business plans; and
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#### Organizational Leadership

- If legislation allows, appoint, monitor and evaluate the performance of the CEO/President;
- If legislation allows, replace CEO/President if necessary; and
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#### Risk Assessment

- Identify principal risks to the Organization's operations and ensure that appropriate systems are in place to manage and monitor these risks; and
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#### Public Policy

- Understand the significance of the role of the Organization as an instrument of public policy; and
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#### Governance

- Ensure the Organization aligns with the taxpayer accountability principles;
- Establish appropriate governance structures to ensure efficient and prudent stewardship of the Organization;
- Assess the board's own effectiveness including monitoring the effectiveness of individual board members; and
- Participate as appropriate on board committees including: Audit, Finance, Risk Management, Governance and Human Resources.

I certify that I have read the above Charter and agree to abide by it for the duration of my service.

☒ I AGREE

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**PART F – INTEGRITY AND PUBLIC ACCOUNTABILITY**

**NOTE:** An affirmative answer to any of the questions below does not automatically disqualify an applicant from being appointed. Each candidate's background will be considered in relation to the specific requirements of the appointment.

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s.22

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- (b) Been charged with or convicted of an offence under any other Federal statutes or regulations, including the *Income Tax Act*, the *Controlled Drugs and Substances Act* or others?
- (c) Been the defendant of any civil action in which allegations of fraud, theft or defamation were made against you?
- (d) Have any outstanding charges against you, including civil action?
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If **YES**, please describe:

s.22

2. Have you or any organization or group that you are associated with promoted or encouraged hatred against people or persons on the basis of ethnicity, language, colour, religion, culture, gender or sexual orientation?

If **YES**, please describe:

s.22

3. Generally, are you aware of any facts or matters which, if publicly disclosed, could cause the government embarrassment or hinder your performance of your duties as a board member?

If **YES**, please describe:

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4. The Crown Agencies and Board Resourcing Office is responsible for ensuring awareness of all relevant information related to potential appointees. We are asking you to use this section to disclose any issues that may be of public interest in the event you are appointed to serve. Such issues can include civil lawsuits, criminal charges or convictions.

Below, describe any issues:

s.22

s.22

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#### PART G – BIOGRAPHY

I agree that if I am appointed to serve, the Crown Agencies and Board Resourcing Office (CABRO) and the Organization may publish a biography of me. Please include a 200 word biography below:

s.22

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#### PART H – REFERENCES (OPTIONAL)

Please provide a minimum of two references:

NAME	TITLE	CONTACT NUMBER	EMAIL ADDRESS
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s.22

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#### PART I – DECLARATION

I understand that the Crown Agencies and Board Resourcing Office and the Organization may verify relevant information with respect to all candidates for potential appointments.

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I solemnly promise that the information provided is true and complete.

I acknowledge and agree to adhere to the Crown Agencies and Board Resourcing Office Best Practices Guidelines.

SIGNATURE (type your name to sign electronically)

Charles Michael Grist

DATE SIGNED DD / MMM / YYYY
30/04/2019

**CANDIDATE PROFILE  
AND DECLARATION***for Governing Boards***INSTRUCTIONS**

- To save the completed form, choose File > Save As Other > Reader Extended PDF > Enable More Tools (Includes Form Fill-in & Save).
- For you to be considered for appointment to a governing board of a British Columbia public sector organization, the Crown Agencies and Board Resourcing Office is required to collect your personal information.
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**Freedom of Information and Protection of Privacy Act (FOIPPA)**

The personal information on this form is collected for the purpose of administering a variety of statutes that authorize the appointment of individuals to public sector organizations under the authority of section 26(a) of the FOIPPA. Information on the authority for a specific appointment is available on request. All information provided to us will be considered as supplied in confidence. Under certain circumstances some information may be released subject to the provisions of the FOIPPA. The Crown Agencies and Board Resourcing Office is the office of record for this form. Questions about the collection or use of this information can be directed to the Crown Agencies and Board Resourcing Office by email at [abc@gov.bc.ca](mailto:abc@gov.bc.ca) or by telephone at 604 660-0465.

**Enter the name of the Organization you are applying to serve on the board of:**

BC Financial Services Authority

**PART A – PERSONAL INFORMATION**

FULL LEGAL NAME (include first, middle and last name)

Stanley William Hamilton, OBC

RESIDENTIAL ADDRESS (include street or PO box)

PREFERRED NAME (if different than full legal name)

Stan

CITY

PROVINCE

POSTAL CODE

s.22

**PART B – BACKGROUND INFORMATION**

1. Educational background (provide a chronology):

INSTITUTION	DEGREE/DIPLOMA OBTAINED	TERM ATTENDED (MMM/YYYY)	
		FROM	TO

s.22

2. Professional and employment background (provide a chronology):

ORGANIZATION	POSITION	TERM OF SERVICE (MMM/YYYY)	
		FROM	TO

s.22

3. Board directorship/community/volunteer activities (*provide a chronology*):

ORGANIZATION	POSITION	TERM OF SERVICE (MMM/YYYY)	
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PROFESSIONAL ORGANIZATION	DESIGNATION ( <i>if applicable</i> )	TERM OF MEMBERSHIP (MMM/YYYY)	
		FROM	TO

s.22

5. Are you currently involved in lobbying activity? s.22

If **YES**, complete the following table.

NAME OF CLIENT	UNDERTAKING START AND END DATES (MMM/YYYY)	
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s.22

6. Have you authored any published works? (*includes books, articles, blogs, professional journals*): s.22  
If **YES**, please list below. If you require more space attach a separate document.

PUBLICATION	PUBLICATION DATE	HYPERLINK (IF AVAILABLE)
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s.22

**PART C – FINANCIAL ACUMEN**

Indicate if you have previous experience with each of the following:

1. Reading and interpreting financial statements s.22
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I certify that I have read the above Charter and agree to abide by it for the duration of my service.

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s.22

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s.22

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If **YES**, please describe:

s.22

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s.22

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s.22

s.22

#### PART G – BIOGRAPHY

I agree that if I am appointed to serve, the Crown Agencies and Board Resourcing Office (CABRO) and the Organization may publish a biography of me. Please include a 200 word biography below:

Dr. Stanley W. Hamilton OBC

Dr. Hamilton is an emeritus professor at the University of British Columbia, Sauder School of Business. During his career at UBC, he taught and conducted research in real estate valuation and investments, institutional investments, pension fund governance and the real estate brokerage industry. He served in a number of senior administrator roles at UBC. Throughout his career he has been active in the community including serving on the boards of the Vancouver Stock Exchange, B.C. Assessment, the Vancouver City Planning Commission, the Financial Services Tribunal of B.C., the UBC Development Permit Board and the Investment Advisory Committees of the Public Guardian and Trustee of B.C., the Real Estate Foundation of B.C. and Bentall Kennedy Prime Canadian Property Fund. On two occasions he served as chair of the Vancouver Property Tax Policy Review Commission.

Since officially retiring Dr. Hamilton has been active in the arts community. He is a past chair of the Arts Club Theatre Company and the BC Arts Council. He continues to serve as a director of the Stanley Theatre Society and is presently a member of the board of directors at Ballet BC, chair of the Onion Lake First Nations Trust, and chair of FICOM.

#### PART H – REFERENCES (OPTIONAL)

Please provide a minimum of two references:

NAME	TITLE	CONTACT NUMBER	EMAIL ADDRESS
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s.22

#### PART I – DECLARATION

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I solemnly promise that the information provided is true and complete.

I acknowledge and agree to adhere to the Crown Agencies and Board Resourcing Office Best Practices Guidelines.

SIGNATURE (type your name to sign electronically)

Stanley W. Hamilton

DATE SIGNED  
DD / MMM / YYYY  
3/5/2019

Clear Form

Submit Form

Page 059 to/à Page 063

Withheld pursuant to/removed as

s.22



**CANDIDATE PROFILE  
AND DECLARATION***for Governing Boards***INSTRUCTIONS**

- To save the completed form, choose File > Save As Other > Reader Extended PDF > Enable More Tools (Includes Form Fill-in & Save).
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**Enter the name of the Organization you are applying to serve on the board of:**

BC Financial Institutions Commission/BC Financial Services Authority

**PART A – PERSONAL INFORMATION**

FULL LEGAL NAME (include first, middle and last name)

Jo-Ann Shelley Hannah

PREFERRED NAME (if different than full legal name)

RESIDENTIAL ADDRESS (include street or PO box)

CITY

PROVINCE

POSTAL CODE

s.22

**PART B – BACKGROUND INFORMATION**

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INSTITUTION

DEGREE/DIPLOMA OBTAINED

TERM ATTENDED (MMM/YYYY)

FROM

TO

s.22

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ORGANIZATION

POSITION

TERM OF SERVICE (MMM/YYYY)

FROM

TO

s.22

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		FROM	TO
s.22			

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s.22			

5. Are you currently involved in lobbying activity? ☐ YES ☒ NO If **YES**, complete the following table.

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s.22		

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s.22		

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SIGNATURE (type your name to sign electronically)

Jo-Ann Hannah

DATE SIGNED DD / MMM / YYYY 28/04/2019
--

Clear Form

Submit Form

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**Enter the name of the Organization you are applying to serve on the board of:**

Financial Institutions Commission/ BC Financial Services Authority

**PART A – PERSONAL INFORMATION**

FULL LEGAL NAME (include first, middle and last name)

Bruce Howell

PREFERRED NAME (if different than full legal name)

RESIDENTIAL ADDRESS (include street or PO box)

s.22

CITY

PROVINCE

POSTAL CODE

**PART B – BACKGROUND INFORMATION**

1. Educational background (provide a chronology):

INSTITUTION	DEGREE/DIPLOMA OBTAINED	TERM ATTENDED (MMM/YYYY)	
		FROM	TO

s.22

2. Professional and employment background (provide a chronology):

ORGANIZATION	POSITION	TERM OF SERVICE (MMM/YYYY)	
		FROM	TO

s.22

3. Board directorship/community/volunteer activities (*provide a chronology*):

ORGANIZATION	POSITION	TERM OF SERVICE (MMM/YYYY)	
		FROM	TO

s.22

4. Professional designations/memberships in professional organizations (*provide a chronology*):

PROFESSIONAL ORGANIZATION	DESIGNATION ( <i>if applicable</i> )	TERM OF MEMBERSHIP (MMM/YYYY)	
		FROM	TO

s.22

5. Are you currently involved in lobbying activity? s.22

If **YES**, complete the following table.

NAME OF CLIENT	UNDERTAKING START AND END DATES (MMM/YYYY)	
	FROM	TO

s.22

6. Have you authored any published works? (*includes books, articles, blogs, professional journals*): s.22  
If **YES**, please list below. If you require more space attach a separate document.

PUBLICATION	PUBLICATION DATE	HYPERLINK (IF AVAILABLE)
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s.22

**PART C – FINANCIAL ACUMEN**

Indicate if you have previous experience with each of the following:

1. Reading and interpreting financial statements s.22
2. Reading and interpreting budgets
3. Familiarity with audit reporting
4. Service in the capacity of a treasurer or finance committee member or chair



---

**PART D – CONFLICT OF INTEREST: DISCLOSURE STATEMENT**

A conflict of interest exists for a board member who has a private or personal interest that appears to influence the board member's judgement in making decisions in the Organization's best interest.

A conflict of interest may take a number of forms:

- Financial or non-financial;
- Direct or indirect; and
- Professional or family related.

A conflict of interest may arise from:

- Employment or board appointments;
- Professional practices including consultative services;
- Financial interests in business enterprises;
- Share ownership;
- Beneficial interests in trusts;
- Private equity interests;
- Real estate property interests;
- Existing or proposed transactions with the Organization;
- Holding elected office;
- Existing professional or personal associations with the Organization;
- Personal associations with other groups or organizations; and
- Family relationships (a connection by blood, by marital or common law relationship, or by affinity).

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Additional information regarding the conflict of interest assessment and the ethical code of conduct is available at: **General Conduct Principles for Public Appointees**

s.22

Below, describe any real and/or perceived conflicts of interest with the Organization:

s.22

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## PART E – CHARTER OF EXPECTATIONS FOR APPOINTEES TO GOVERNING BOARDS

Board Appointees owe the Organization they serve a commitment to making the best possible decisions. Appointees must carefully adhere to these principles and responsibilities. They must strive for high standards of accountability and fiduciary duty.

### PRINCIPLES

#### Compliance

- You must ensure compliance with all accounting and audit principles;
- Take all necessary and reasonable measures to ensure compliance with laws, regulations and policies that apply to the Organization; and
- Know the Organization's mandate.

#### Accountability

- You must ensure the accuracy of financial information;
- Base your decisions upon facts and reliable information; and
- Properly inform yourself before taking action.

#### Integrity

- In making decisions, you must always act in the best interests of the Organization;
- Ensure integrity in all dealings with and on behalf of the Organization, including via social media platforms;
- Maintain the confidentiality of information received by you in your capacity as board member both during and after your appointment;
- Maintain the ongoing responsibility to disclose real or perceived conflicts of interest; and
- Avoid real or perceived conflicts between your own private interests and the best interests of the Organization.

### RESPONSIBILITIES

#### Strategic Planning

- Provide input to management on emerging trends and issues; and
- Review and approve management's strategic plans, including significant capital allocations and expenditures.

#### Performance Monitoring and Reporting

- Monitor corporate performance against strategic and business plans; and
- Evaluate corporate performance reporting.

#### Organizational Leadership

- If legislation allows, appoint, monitor and evaluate the performance of the CEO/President;
- If legislation allows, replace CEO/President if necessary; and
- Ensure succession planning.

#### Risk Assessment

- Identify principal risks to the Organization's operations and ensure that appropriate systems are in place to manage and monitor these risks; and
- Review and approve material transactions not in the ordinary course of business.

#### Public Policy

- Understand the significance of the role of the Organization as an instrument of public policy; and
- Monitor performance of the Organization in relation to the strategic objectives of the shareholder.

#### Governance

- Ensure the Organization aligns with the taxpayer accountability principles;
- Establish appropriate governance structures to ensure efficient and prudent stewardship of the Organization;
- Assess the board's own effectiveness including monitoring the effectiveness of individual board members; and
- Participate as appropriate on board committees including: Audit, Finance, Risk Management, Governance and Human Resources.

I certify that I have read the above Charter and agree to abide by it for the duration of my service.

☒ I AGREE

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**PART F – INTEGRITY AND PUBLIC ACCOUNTABILITY**

**NOTE:** An affirmative answer to any of the questions below does not automatically disqualify an applicant from being appointed. Each candidate's background will be considered in relation to the specific requirements of the appointment.

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- (b) Been charged with or convicted of an offence under any other Federal statutes or regulations, including the *Income Tax Act*, the *Controlled Drugs and Substances Act* or others?
- (c) Been the defendant of any civil action in which allegations of fraud, theft or defamation were made against you?
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If **YES**, please describe:

s.22

2. Have you or any organization or group that you are associated with promoted or encouraged hatred against people or persons on the basis of ethnicity, language, colour, religion, culture, gender or sexual orientation?

If **YES**, please describe:

s.22

3. Generally, are you aware of any facts or matters which, if publicly disclosed, could cause the government embarrassment or hinder your performance of your duties as a board member?

If **YES**, please describe:

s.22

4. The Crown Agencies and Board Resourcing Office is responsible for ensuring awareness of all relevant information related to potential appointees. We are asking you to use this section to disclose any issues that may be of public interest in the event you are appointed to serve. Such issues can include civil lawsuits, criminal charges or convictions.

s.22

Below, describe any issues:

s.22

---

#### PART G – BIOGRAPHY

I agree that if I am appointed to serve, the Crown Agencies and Board Resourcing Office (CABRO) and the Organization may publish a biography of me. Please include a 200 word biography below:

s.22

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#### PART H – REFERENCES (OPTIONAL)

Please provide a minimum of two references:

NAME	TITLE	CONTACT NUMBER	EMAIL ADDRESS
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s.22

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#### PART I – DECLARATION

I understand that the Crown Agencies and Board Resourcing Office and the Organization may verify relevant information with respect to all candidates for potential appointments.

By signing below, I authorize the Crown Agencies and Board Resourcing Office to verify or obtain any personal information about me directly from organizations or references referred to in this form and from any person, government education institution, police force, military authority or governing body for the purpose of evaluating my ability to serve. I also consent to the disclosure of my personal information to such persons or organizations when such disclosure is necessary to evaluate my suitability for appointment.

If, at any time following the signing of this form, there are changes to the information given herein regarding conflict of interest, or any other part of this form, either by way of addition or deletion, within 14 calendar days of this change, I will submit an updated Candidate Profile and Declaration form to the Crown Agencies and Board Resourcing Office an describing such change.

I solemnly promise that the information provided is true and complete.

I acknowledge and agree to adhere to the Crown Agencies and Board Resourcing Office **Best Practices Guidelines**.

SIGNATURE (type your name to sign electronically)

Bruce Howell

DATE SIGNED DD / MMM / YYYY 30/04/2019
--

**CANDIDATE PROFILE  
AND DECLARATION***for Governing Boards***INSTRUCTIONS**

- To save the completed form, choose File > Save As Other > Reader Extended PDF > Enable More Tools (Includes Form Fill-in & Save).
- For you to be considered for appointment to a governing board of a British Columbia public sector organization, the Crown Agencies and Board Resourcing Office is required to collect your personal information.
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**Enter the name of the Organization you are applying to serve on the board of:**

Financial Institutions Commission/BC Financial Services Authority

**PART A – PERSONAL INFORMATION**

FULL LEGAL NAME (include first, middle and last name)

Joanne Adele Hausch

PREFERRED NAME (if different than full legal name)

Joanne

RESIDENTIAL ADDRESS (include street or PO box)

CITY

PROVINCE

POSTAL CODE

s.22

**PART B – BACKGROUND INFORMATION**

1. Educational background (provide a chronology):

INSTITUTION

DEGREE/DIPLOMA OBTAINED

TERM ATTENDED (MMM/YYYY)

FROM

TO

s.22

2. Professional and employment background (provide a chronology):

ORGANIZATION

POSITION

TERM OF SERVICE (MMM/YYYY)

FROM

TO

s.22

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ORGANIZATION	POSITION	TERM OF SERVICE (MMM/YYYY)	
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s.22			

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s.22

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I certify that I have read the above Charter and agree to abide by it for the duration of my service.

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- (j) Been discharged, suspended or asked to resign from any employment?

If **YES**, please describe:

s.22

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If **YES**, please describe:

s.22

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s.22

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s.22

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s.22

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NAME	TITLE	CONTACT NUMBER	EMAIL ADDRESS
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s.22

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I solemnly promise that the information provided is true and complete.

I acknowledge and agree to adhere to the Crown Agencies and Board Resourcing Office Best Practices Guidelines.

SIGNATURE (type your name to sign electronically)

Joanne Hausch

DATE SIGNED DD / MMM / YYYY
05/05/2019

Clear Form

Submit Form

**CANDIDATE PROFILE  
AND DECLARATION***for Governing Boards***INSTRUCTIONS**

- To save the completed form, choose File > Save As Other > Reader Extended PDF > Enable More Tools (Includes Form Fill-in & Save).
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**Enter the name of the Organization you are applying to serve on the board of:**

BC Financial Services Authority

**PART A – PERSONAL INFORMATION**

FULL LEGAL NAME (include first, middle and last name)

Jacqueline Ann Kelly

PREFERRED NAME (if different than full legal name)

RESIDENTIAL ADDRESS (include street or PO box)

CITY

PROVINCE

POSTAL CODE

s.22

**PART B – BACKGROUND INFORMATION**

1. Educational background (provide a chronology):

INSTITUTION	DEGREE/DIPLOMA OBTAINED	TERM ATTENDED (MMM/YYYY)	
		FROM	TO

s.22

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s.22

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s.22

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s.22

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I solemnly promise that the information provided is true and complete.

I acknowledge and agree to adhere to the Crown Agencies and Board Resourcing Office Best Practices Guidelines.

SIGNATURE (type your name to sign electronically)

Jacqueline Kelly

DATE SIGNED  
DD / MMM / YYYY  
13/05/2019

Clear Form

Submit Form



**CANDIDATE PROFILE  
AND DECLARATION***for Governing Boards***INSTRUCTIONS**

- To save the completed form, choose File > Save As Other > Reader Extended PDF > Enable More Tools (Includes Form Fill-in & Save).
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**Enter the name of the Organization you are applying to serve on the board of:**

BC Financial Services Authority

**PART A – PERSONAL INFORMATION**

FULL LEGAL NAME (include first, middle and last name)

PREFERRED NAME (if different than full legal name)

Gerald David Matier

RESIDENTIAL ADDRESS (include street or PO box)

CITY

PROVINCE

POSTAL CODE

s.22

**PART B – BACKGROUND INFORMATION**

1. Educational background (provide a chronology):

INSTITUTION

DEGREE/DIPLOMA OBTAINED

TERM ATTENDED (MMM/YYYY)

FROM

TO

s.22

2. Professional and employment background (provide a chronology):

ORGANIZATION

POSITION

TERM OF SERVICE (MMM/YYYY)

FROM

TO

s.22

3. Board directorship/community/volunteer activities (*provide a chronology*):

ORGANIZATION	POSITION	TERM OF SERVICE (MMM/YYYY)	
		FROM	TO

s.22

4. Professional designations/memberships in professional organizations (*provide a chronology*):

PROFESSIONAL ORGANIZATION	DESIGNATION ( <i>if applicable</i> )	TERM OF MEMBERSHIP (MMM/YYYY)	
		FROM	TO

s.22

5. Are you currently involved in lobbying activity? s.22

If **YES**, complete the following table.

NAME OF CLIENT	UNDERTAKING START AND END DATES (MMM/YYYY)	
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s.22

6. Have you authored any published works? (*includes books, articles, blogs, professional journals*): s.22  
If **YES**, please list below. If you require more space attach a separate document.

PUBLICATION	PUBLICATION DATE	HYPERLINK (IF AVAILABLE)
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s.22

**PART C – FINANCIAL ACUMEN**

Indicate if you have previous experience with each of the following:

1. Reading and interpreting financial statements s.22
2. Reading and interpreting budgets
3. Familiarity with audit reporting
4. Service in the capacity of a treasurer or finance committee member or chair

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#### **PART D – CONFLICT OF INTEREST: DISCLOSURE STATEMENT**

A conflict of interest exists for a board member who has a private or personal interest that appears to influence the board member's judgement in making decisions in the Organization's best interest.

A conflict of interest may take a number of forms:

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- Direct or indirect; and
- Professional or family related.

A conflict of interest may arise from:

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- Financial interests in business enterprises;
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s.22

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## PART E – CHARTER OF EXPECTATIONS FOR APPOINTEES TO GOVERNING BOARDS

Board Appointees owe the Organization they serve a commitment to making the best possible decisions. Appointees must carefully adhere to these principles and responsibilities. They must strive for high standards of accountability and fiduciary duty.

### PRINCIPLES

#### Compliance

- You must ensure compliance with all accounting and audit principles;
- Take all necessary and reasonable measures to ensure compliance with laws, regulations and policies that apply to the Organization; and
- Know the Organization's mandate.

#### Accountability

- You must ensure the accuracy of financial information;
- Base your decisions upon facts and reliable information; and
- Properly inform yourself before taking action.

#### Integrity

- In making decisions, you must always act in the best interests of the Organization;
- Ensure integrity in all dealings with and on behalf of the Organization, including via social media platforms;
- Maintain the confidentiality of information received by you in your capacity as board member both during and after your appointment;
- Maintain the ongoing responsibility to disclose real or perceived conflicts of interest; and
- Avoid real or perceived conflicts between your own private interests and the best interests of the Organization.

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#### Strategic Planning

- Provide input to management on emerging trends and issues; and
- Review and approve management's strategic plans, including significant capital allocations and expenditures.

#### Performance Monitoring and Reporting

- Monitor corporate performance against strategic and business plans; and
- Evaluate corporate performance reporting.

#### Organizational Leadership

- If legislation allows, appoint, monitor and evaluate the performance of the CEO/President;
- If legislation allows, replace CEO/President if necessary; and
- Ensure succession planning.

#### Risk Assessment

- Identify principal risks to the Organization's operations and ensure that appropriate systems are in place to manage and monitor these risks; and
- Review and approve material transactions not in the ordinary course of business.

#### Public Policy

- Understand the significance of the role of the Organization as an instrument of public policy; and
- Monitor performance of the Organization in relation to the strategic objectives of the shareholder.

#### Governance

- Ensure the Organization aligns with the taxpayer accountability principles;
- Establish appropriate governance structures to ensure efficient and prudent stewardship of the Organization;
- Assess the board's own effectiveness including monitoring the effectiveness of individual board members; and
- Participate as appropriate on board committees including: Audit, Finance, Risk Management, Governance and Human Resources.

I certify that I have read the above Charter and agree to abide by it for the duration of my service.

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s.22

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s.22

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If **YES**, please describe:

s.22

3. Generally, are you aware of any facts or matters which, if publicly disclosed, could cause the government embarrassment or hinder your performance of your duties as a board member?

If **YES**, please describe:

s.22

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Below, describe any issues:

s.22

s.22

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I agree that if I am appointed to serve, the Crown Agencies and Board Resourcing Office (CABRO) and the Organization may publish a biography of me. Please include a 200 word biography below:

s.22

#### PART H – REFERENCES (OPTIONAL)

Please provide a minimum of two references:

NAME	TITLE	CONTACT NUMBER	EMAIL ADDRESS
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s.22

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SIGNATURE (type your name to sign electronically)

Gerald Matier

DATE SIGNED  
DD / MMM / YYYY  
05/May/2019

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**PART A – PERSONAL INFORMATION**

FULL LEGAL NAME (include first, middle and last name)

Shannon Nicola Salter

PREFERRED NAME (if different than full legal name)

RESIDENTIAL ADDRESS (include street or PO box)

CITY

PROVINCE

POSTAL CODE

s.22

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INSTITUTION

DEGREE/DIPLOMA OBTAINED

TERM ATTENDED (MMM/YYYY)

FROM

TO

s.22

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POSITION

TERM OF SERVICE (MMM/YYYY)

FROM

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s.22			

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s.22

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s.22

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s.22

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s.22

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s.22

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SIGNATURE (type your name to sign electronically)

Shannon Salter

DATE SIGNED  
DD / MMM / YYYY  
29/04/2019

Clear Form

Submit Form

Page 100

Withheld pursuant to/removed as

s.22

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**PART A – PERSONAL INFORMATION**

FULL LEGAL NAME (include first, middle and last name)

PREFERRED NAME (if different than full legal name)

Wilma Simone van Norden

s.22

**PART B – BACKGROUND INFORMATION**

1. Educational background (provide a chronology):

INSTITUTION	DEGREE/DIPLOMA OBTAINED	TERM ATTENDED (MMM/YYYY)	
		FROM	TO

s.22

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ORGANIZATION	POSITION	TERM OF SERVICE (MMM/YYYY)	
		FROM	TO

s.22

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- (h) Been involved in any issue or controversy that has gone, or is likely to go, to litigation or public review?
- (i) Made an assignment or lodged a proposal under the *Bankruptcy and Insolvency Act*?
- (j) Been discharged, suspended or asked to resign from any employment?

If **YES**, please describe:

s.22

2. Have you or any organization or group that you are associated with promoted or encouraged hatred against people or persons on the basis of ethnicity, language, colour, religion, culture, gender or sexual orientation?

If **YES**, please describe:

s.22

3. Generally, are you aware of any facts or matters which, if publicly disclosed, could cause the government embarrassment or hinder your performance of your duties as a board member?

If **YES**, please describe:

s.22

4. The Crown Agencies and Board Resourcing Office is responsible for ensuring awareness of all relevant information related to potential appointees. We are asking you to use this section to disclose any issues that may be of public interest in the event you are appointed to serve. Such issues can include civil lawsuits, criminal charges or convictions.

Below, describe any issues:

s.22

s.22

---

#### PART G – BIOGRAPHY

I agree that if I am appointed to serve, the Crown Agencies and Board Resourcing Office (CABRO) and the Organization may publish a biography of me. Please include a 200 word biography below:

s.22

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#### PART H – REFERENCES (OPTIONAL)

Please provide a minimum of two references:

NAME	TITLE	CONTACT NUMBER	EMAIL ADDRESS
------	-------	----------------	---------------

s.22

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#### PART I – DECLARATION

I understand that the Crown Agencies and Board Resourcing Office and the Organization may verify relevant information with respect to all candidates for potential appointments.

By signing below, I authorize the Crown Agencies and Board Resourcing Office to verify or obtain any personal information about me directly from organizations or references referred to in this form and from any person, government education institution, police force, military authority or governing body for the purpose of evaluating my ability to serve. I also consent to the disclosure of my personal information to such persons or organizations when such disclosure is necessary to evaluate my suitability for appointment.

If, at any time following the signing of this form, there are changes to the information given herein regarding conflict of interest, or any other part of this form, either by way of addition or deletion, within 14 calendar days of this change, I will submit an updated Candidate Profile and Declaration form to the Crown Agencies and Board Resourcing Office an describing such change.

I solemnly promise that the information provided is true and complete.

I acknowledge and agree to adhere to the Crown Agencies and Board Resourcing Office Best Practices Guidelines.

SIGNATURE (type your name to sign electronically)

Wilma van Norden

DATE SIGNED  
DD / MMM / YYYY  
29/04/2019

Clear Form

Submit Form

## Fitzpatrick, Ceara FIN:EX

---

**From:** Griffin, Shannon FIN:EX  
**Sent:** April 24, 2019 10:47 AM  
**To:** Smiley, Christine FIN:EX  
**Cc:** Lu, Barbara FIN:EX; Toovey, Kari FIN:EX; Khtaria, Parveen FIN:EX; Wilkinson, Sandra AG:EX  
**Subject:** Appointments OIC Timing Issue  
**Importance:** High

Hi Christine:

I ran into a bit of a snag drafting the OIC for the BCFSa board. Legal Services will not release the OIC for the BCFSa board until the enabling legislation has received Royal Assent, which means my OIC most likely cannot go to the same Cabinet date as the OIC Kari is doing to bring certain sections into force (May 29).

I spoke with Kari and she said that although we can never presuppose when the Act will received Royal Assent Cab Ops was hoping for mid-May (and consequently is letting her schedule her OIC for May 29 in anticipation). I however, can't get the draft OIC released for signature from the area of Legal Services that drafts OICs for appointments until Royal Assent is received. Vetting will take a few days at least and then it needs to go the Appointments and Orders Committee of Cabinet for pre-approval as well. If Royal Assent is received by mid-May, I may be able to squeak the appointment OIC onto the May 29 Cabinet or the following June 5 date. I can also run a corridor order, which means it doesn't go to Cabinet or the Cabinet Committee but is signed by the LGiC outside of the normal process (and requires Don Wright's approval). This is faster, but still takes a few days at least and everything has to go perfectly to make it happen in the given timelines and isn't ideal as it is outside of due process.

s.13

s.13 Kari is aiming for her OIC to come into force on June 4. Given the new wrinkle, this is an ambitious timeline that may be tricky to pull off s.13

s.13

s.13 for the lag between the Act coming into force and the appointments. I am also wondering if the drafters that are drafting Kari's OIC can do the appointments' one as well? I am not sure what the rules are around this – does only one area of Legal Services have the authority to draft for appointments? Will it cause issues once it is time to move it through CabOps and get it posted to BC Laws? If the appointment OIC can be managed the same way as Kari's, I could work with our team to get it signed onto CABRO's agenda in advance.

I am thinking we may want to have a meeting to discuss.

Thanks,

**Shannon Griffin** | Senior Advisor  
Crown Agencies and Board Resourcing Office | [CABRO](#)  
Ministry of Finance | 778-698-5258 | [Shannon.Griffin@gov.bc.ca](mailto:Shannon.Griffin@gov.bc.ca)  
617 Government St, Victoria

*Note: This email is intended only for the use of the individual or organization to whom it is addressed. It may contain information that is privileged or confidential. Any distribution, disclosure, copying, or other use by anyone else is strictly prohibited. If you have received this in error, please telephone or e-mail the sender immediately and delete the message.*

## Fitzpatrick, Ceara FIN:EX

---

**From:** Griffin, Shannon FIN:EX  
**Sent:** April 9, 2019 11:52 AM  
**To:** Beresford, Charley FIN:EX  
**Subject:** Update for FICOM appointments

Hi Charley:

Please see the email from FICOM below. I will remove him from the BN.

Also, I was at a meeting yesterday with Barbara and Tara Richards and they expressed that we are not filling all 4 vacancies – just 3 and s.13 Barbara said she believe Stanley and you already discussed this so I will make sure it is reflected in the cover note for your meeting with Minister James on Thursday.

Tara was also talking about s.13  
s.13

s.13 The legislation is also scheduled for May 29 so we have picked an effective date that is after the date the leg comes into effect as we can't appoint people to an entity that doesn't exist yet.

Happy to discuss,

Shannon

**From:** Lu, Barbara FIN:EX  
**Sent:** April 8, 2019 9:53 AM  
**To:** Griffin, Shannon FIN:EX <Shannon.Griffin@gov.bc.ca>  
**Cc:** Cutbill, Luke FIN:EX <Luke.Cutbill@gov.bc.ca>  
**Subject:** RE: s.13,s.22

Hi Shannon,

I can give you more details on our call in a few minutes but  
s.13,s.22 s.13,s.22

Regards,  
Barbara

**From:** Griffin, Shannon FIN:EX  
**Sent:** April 4, 2019 10:17 AM  
**To:** Lu, Barbara FIN:EX <Barbara.Lu@ficombc.ca>  
**Cc:** Cutbill, Luke FIN:EX <Luke.Cutbill@gov.bc.ca>  
**Subject:** s.13,s.22

Hi Barbara:

Hope all is well with you. Charley is meeting with the Minister of Finance early next week and I was hoping to get s.13, s.22 by end of day Friday so I can include it in the briefing package.

Thank you,

**Shannon Griffin** | Senior Advisor  
Crown Agencies and Board Resourcing Office | [CABRO](#)  
Ministry of Finance | 778-698-5258 | [Shannon.Griffin@gov.bc.ca](mailto:Shannon.Griffin@gov.bc.ca)  
617 Government St, Victoria

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Page 110

Withheld pursuant to/removed as

s.14;s.13

Page 111

Withheld pursuant to/removed as

s.12;s.14;s.13



Page 112

Withheld pursuant to/removed as

s.14;s.13

## **Fitzpatrick, Ceara FIN:EX**

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**From:** Griffin, Shannon FIN:EX  
**Sent:** June 13, 2019 9:41 AM  
**To:** Hamilton, Stanley; Lu, Barbara FIN:EX; 'Joanne Hausch'  
**Cc:** Beresford, Charley FIN:EX  
**Subject:** RE: Conflict of interest

Good Morning:

CABRO agrees there is no conflict of interest given Ms. Hausch s.22 and is currently not involved with the organization. Should any conflict of interest arise in future, perceived or otherwise, it can be managed on a case by case basis under the advice of the Board Chair.

Thank you for bringing our attention to this matter,

**Shannon Griffin** | Senior Advisor  
Crown Agencies and Board Resourcing Office | [CABRO](#)  
Ministry of Finance | 778-698-5258 | [Shannon.Griffin@gov.bc.ca](mailto:Shannon.Griffin@gov.bc.ca)  
617 Government St, Victoria

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**From:** Hamilton, Stanley <[Stanley.Hamilton@sauder.ubc.ca](mailto:Stanley.Hamilton@sauder.ubc.ca)>  
**Sent:** June 13, 2019 9:33 AM  
**To:** Lu, Barbara FIN:EX <[Barbara.Lu@ficombc.ca](mailto:Barbara.Lu@ficombc.ca)>; 'Joanne Hausch' <[joanne@hausch.com](mailto:joanne@hausch.com)>  
**Cc:** Griffin, Shannon FIN:EX <[Shannon.Griffin@gov.bc.ca](mailto:Shannon.Griffin@gov.bc.ca)>  
**Subject:** Re: Conflict of interest

I agree Barbara  
I do not see any conflict or concern.  
Stan

**From:** Barbara Lu <[Barbara.Lu@ficombc.ca](mailto:Barbara.Lu@ficombc.ca)>  
**Date:** Wednesday, June 12, 2019 at 10:49 AM  
**To:** 'Joanne Hausch' s.22  
**Cc:** "Griffin, Shannon FIN:EX" <[Shannon.Griffin@gov.bc.ca](mailto:Shannon.Griffin@gov.bc.ca)>, Urban Pacific Investments <[Stanley.Hamilton@sauder.ubc.ca](mailto:Stanley.Hamilton@sauder.ubc.ca)>  
**Subject:** RE: Conflict of interest

Hi Joanne,

I have cc'd Shannon Griffin from the Crown Agencies and Board Resourcing Office (CABRO) and the chair on this email. Given that s.22 I do not see this as a problem. To my knowledge, we do not have s.13 This may be a note that needs to be added to the Candidate Profile and Declaration form kept by CABRO.

Shannon, could you please help Joanne through next steps (if any)?

Regards,  
Barbara

**From:** Joanne Hausch <s.22>  
**Sent:** June 12, 2019 7:09 AM  
**To:** Lu, Barbara FIN:EX <[Barbara.Lu@ficombc.ca](mailto:Barbara.Lu@ficombc.ca)>  
**Subject:** Re: Conflict of interest

Good morning Barbara.

s.22

Of course it is imperative to ensure that this previous relationship does not represent a conflict of interest, real or perceived.

s.22

I hope to have more information later today. I am available for a call at s.22

Best regards

Joanne

On Thu, Jun 6, 2019 at 9:04 PM Lu, Barbara FIN:EX <[Barbara.Lu@ficombc.ca](mailto:Barbara.Lu@ficombc.ca)> wrote:

My apologies Joanne, I missed one attachment in my previous email. Here it is.

Barbara

**From:** Lu, Barbara FIN:EX  
**Sent:** June 6, 2019 5:01 PM  
**To:** s.22  
**Cc:** Chen, Angel FIN:EX <[Angel.Chen@ficombc.ca](mailto:Angel.Chen@ficombc.ca)>  
**Subject:** Welcome to BCFSA!

Hello Joanne,

It was wonderful connecting with you today, thank you for taking the time to speak with me regarding your appointment to the Board of the newly established BC Financial Services Authority Board of Directors. I have attached a PDF version of our establishing legislation for your reference.

As discussed, attached is also a list of dates and times for the rest of the year for BCFSa Board meetings. Confirmation of the dates/times for some of those meetings is dependent on the final decision on the date for the full transition of the operations of the Financial Institutions Commission (FICOM) being transferred over to BCFSa. As soon as we have a firm date and agenda, I will send that to you.

Below is a short summary of the brief conversation we had this afternoon. There is/will be a lot of new information coming your way in a short period of time so I want to ensure you have as much of it available at your fingertips as possible.

- From June 14 (when your appointment comes into effect) to the full transition date (October or November), there will be separate FICOM and BCFSa meetings. Because your appointment is to the board of BCFSa only and the provisions of the legislation transferring regulatory authorities to the BCFSa will not come into effect until the Fall, you may only attend the BCFSa meetings.
  - The current 7 members of the FICOM Commission will be wearing 2 hats during this time as FICOM Commissioners and BCFSa Board Directors.
  - The reason I mention this is because there may be dates between June-Oct/Nov where those 7 members will stay behind after the BCFSa meeting for a Commission meeting and I want to make sure there is no confusion.
  - After the full transition, there will only be BCFSa meetings, FICOM will be dissolved and you will have the full regulatory authorities currently restricted to FICOM Commissioners.
- Our commitment to you is to have board packages out one week in advance of meetings.
- The June 20<sup>th</sup> all-day orientation will be a corporate orientation to the current FICOM organization and what the future-state of BCFSa will be.

Last but certainly not least, I have copied Angel Chen on this email. She manages the administration of Directors' remuneration. She will be in touch shortly with some guidance on submitting expenses, per diems etc. In the meantime, I have attached the Treasury Board Directive that guides the remuneration for BCFSa Board Directors. Although the list has not yet been updated, BCFSa Directors are classified as Level 5 Category D appointees (section 6.3 in the directive). Angel will also be presenting at the orientation and we will take time to answer questions you might have on this topic.

As I mentioned, please do not hesitate to contact me if you should have any questions.

Warm Regards,

**Barbara Lu** | Director, Board Governance & Corporate Secretary  
FICOM

**Ph:** 604 218-1637 | **e:** [Barbara.Lu@ficombc.ca](mailto:Barbara.Lu@ficombc.ca)



--  
Joanne Hausch CPA CA, ICD.D  
s.22

FICOM Competency Matrix																										
Name	Core Skills								People		Equity			Other	Governance	Industry Experience			Industry Knowledge							
	Financial Acumen	Communicatutions	Privacy	Enterprise and Risk Management	Information Technology	Organizational Leadership	Market Conduc Experience / Knowledge	Stratgeic Planning	Legal	Human Resources / Recruitment	Labour Relations	Supervisory Experience / Knowledge	Gender	Indigineous	Visible Minority	Other	Public Sector Knowledge	Governance	Board of Directors Experience	Banking Sector	Mortgage Borker and Real Estate	Insurance and Trust	Pension	Banking Sector	Mortgage Borker and Real Estate	Insurance and Trust
Vacant	s.22																									
Vacant																										
Vacant																										
Vacant																										
Stanley Hamilton (Chair)																										
Michael Grist																										
Jacqueline Kelly																										
Gerald Matier																										
Shannon Salter																										
Wilma van Norden																										
Joel Whitmore																										

s.22





Ministry of  
Finance

Office of the  
Deputy Minister

## MEMORANDUM

To: Honorable Carole James  
Minister of Finance and Deputy Premier

May 22, 2019  
382055

Re: s.12,s.13                      BC Financial Services Authority

An Order in Council that will be brought before Cabinet on May 29, 2019, s.12  
s.12,s.13

.../2



CABRO would like the OIC to be available for consideration at the May 29, 2019 Cabinet meeting.

If you concur, please sign the OIC so that your office may return the package to the Deputy Minister's office for delivery to Cabinet Operations.

A handwritten signature in black ink, appearing to read "L Wanamaker". The signature is fluid and cursive, with the first letter "L" being particularly large and stylized.

Lori Wanamaker, FCPA, FCA  
Deputy Minister

Attachment

Page 03

Withheld pursuant to/removed as

s.12;s.14;s.13

Page 04 to/à Page 05

Withheld pursuant to/removed as

s.12;s.13

Page 06

Withheld pursuant to/removed as

s.12;s.14;s.13

Page 07 to/à Page 08

Withheld pursuant to/removed as

s.12;s.13

**From:** [Griffin, Shannon FIN:EX](#)  
**To:** [Lu, Barbara FIN:EX](#)  
**Cc:** [Toovey, Kari FIN:EX](#); [Allen, Leanne V FIN:EX](#); [Shaw, Brea BRDO:EX](#)  
**Subject:** FW: BCFS Board Appointment OIC  
**Date:** June 5, 2019 9:17:25 AM  
**Attachments:** [285-2019.pdf](#)

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Hot off the press

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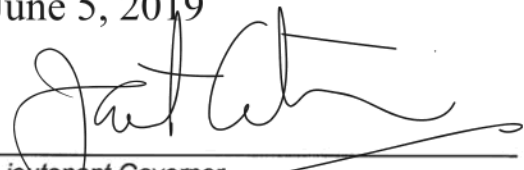
**From:** Dardengo, Doris AG:EX  
**Sent:** June 5, 2019 9:15 AM  
**To:** Beresford, Charley FIN:EX <Charley.Beresford@gov.bc.ca>; Fitzpatrick, Ceara FIN:EX <Ceara.Fitzpatrick@gov.bc.ca>; Griffin, Shannon FIN:EX <Shannon.Griffin@gov.bc.ca>; Haszard, Shannon FIN:EX <Shannon.Haszard@gov.bc.ca>; Johnson, Tricia FIN:EX <Tricia.Johnson@gov.bc.ca>; Newman, Ellen FIN:EX <Ellen.Newman@gov.bc.ca>; Olson, Lianna FIN:EX <Lianna.Olson@gov.bc.ca>; Shaw, Brea BRDO:EX <Brea.Shaw@gov.bc.ca>  
**Subject:** OIC

**PROVINCE OF BRITISH COLUMBIA**  
**ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL**

Order in Council No. 285

, Approved and Ordered

June 5, 2019


  
Lieutenant Governor

**Executive Council Chambers, Victoria**

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that the following appointments to the board of directors of the BC Financial Services Authority are made:

- (a) effective June 5, 2019,
  - (i) Stanley W. Hamilton is appointed as a director and designated as chair for a term ending July 31, 2022,
  - (ii) Shannon Nicola Salter and Joel J. Whittemore are appointed as directors for terms ending July 31, 2021, and
  - (iii) Charles Michael Grist, Jacqueline Ann Kelly, Gerald David Matier and Wilma Simone van Norden are appointed as directors for terms ending July 31, 2023;
- (b) effective June 14, 2019, Jo-Ann Shelley Hannah, Joanne Adele Hausch and Bruce Howell are appointed as directors for terms ending July 31, 2022.

  
Minister of Finance and Deputy Premier

  
Presiding Member of the Executive Council

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*(This part is for administrative purposes only and is not part of the Order.)*

**Authority under which Order is made:**

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_

**From:** [Allen, Leanne V FIN:EX](#)  
**To:** [Hamilton, Stanley](#)  
**Cc:** [Richards, Tara R FIN:EX](#); [Chen, Angel FIN:EX](#); [Lu, Barbara FIN:EX](#)  
**Subject:** RE: OIC - vice chair - Appointment to the BC Financial Services Authority  
**Date:** June 6, 2019 9:23:02 AM  
**Attachments:** [287-2019.pdf](#)

---

Good morning Stanley,

Further to yesterdays appointments, please find attached OIC 287/19 that designates Wilma Simone van Norden as vice chair of the BC Financial Services Authority board of directors.

Thank you,

Leanne

---

**From:** Allen, Leanne V FIN:EX  
**Sent:** June 5, 2019 10:40 AM  
**To:** Hamilton, Stanley <Stanley.Hamilton@sauder.ubc.ca>  
**Cc:** Richards, Tara R FIN:EX <Tara.Richards@gov.bc.ca>; Chen, Angel FIN:EX <Angel.Chen@ficombc.ca>; Lu, Barbara FIN:EX <Barbara.Lu@ficombc.ca>  
**Subject:** OIC - Appointments to the BC Financial Services Authority

Hello Stanley,

Please find attached OIC 285/19 that appoints 10 members to the new BC Financial Services Authority. Seven effective June 5, 2019 and three effective June 14, 2019.

Appointment letter's for each member will follow once Minister has the opportunity to sign.

I know that you have the contact information for the current FICOM Board members, but will not have contact information for the three new members. Please find that information below, as well, the members Bios.

**Contact Information**

Joanne Adele Hausch  
s.22

Jo-Anne Shelley Hannah  
s.22



Bruce Howell

**BIOs:**

**Jo-Anne Hannah**

Jo-Anne Hannah is currently working as a Volunteer Tutor with Lil'wat Nation Education, and as Chair for the Elementary Teachers' Federation of Ontario Health Trust. Previously she was Director of Pensions and Benefits for Unifor from 2011-2016, and before that she was the National Representative for CAW-Canada, Pensions and Benefits.

Ms. Hannah has served as a Board Trustee and Investment Committee member for asrTrust-Chrysler GM Retiree Health Care Trust, on the Fiat Chrysler Canada Pension Committee, on the Ontario Federation of Labour Pension Committee, and on the Unifor Legal Services Board of Trustees. She holds her Doctor of Education from the University of Toronto, her Master of Psychology from the University of British Columbia, her Teacher Certificate from Simon Fraser University, and her Bachelor of English from the University of British Columbia.

**Joanne Hausch**

Joanne Hausch retired in 2017 after working since 1984 for Deloitte LLP where she was a Tax Partner, National Quality and Risk Leader for Global Investment and Innovation Incentives. She provided tax and business advice to a portfolio of clients including some of Canada's largest companies as well as start-up businesses, she also consulted in a variety of industries including: financial institutions, pension funds, mining and metals, forest products, oil and gas, s.22

Ms. Hausch has served as Chair of the Foundation for the Family Services of Greater Vancouver, is founding Director, Treasurer and immediate past Chair of the board of Vancouver Women in Technology and is also the Founder and program leader for StreetMeals. She is a Chartered Professional Accountant s.22

-

**Bruce Howell**

Bruce Howell retired in 2015 after working for 8 years as President and CEO of Prospera Credit Union. s.22

s.22

Previously Mr. Howell worked as Chief Financial Officer for Cummins Western Canada, and before that as Senior Vice President, Integration, for Coast Capital Savings Credit Union/ Richmond Savings Credit Union, where he oversaw all customer service operations for Coast Capital Savings, s.22

s.22

Mr. Howell is a Certified General Accountant and holds his Institute of Corporate Directors Designation.

Thank you,

\*\*\*\*\*

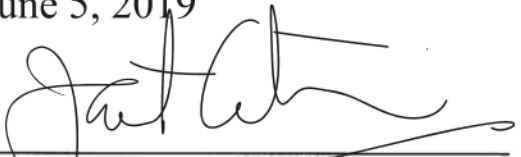
Leanne Allen  
Appointments and Legislation Coordinator  
Financial and Corporate Sector Policy Branch  
Ministry of Finance  
778-698-5263

**PROVINCE OF BRITISH COLUMBIA**  
**ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL**

Order in Council No. 285

, Approved and Ordered

June 5, 2019


  
Lieutenant Governor

**Executive Council Chambers, Victoria**

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that the following appointments to the board of directors of the BC Financial Services Authority are made:

- (a) effective June 5, 2019,
  - (i) Stanley W. Hamilton is appointed as a director and designated as chair for a term ending July 31, 2022,
  - (ii) Shannon Nicola Salter and Joel J. Whittemore are appointed as directors for terms ending July 31, 2021, and
  - (iii) Charles Michael Grist, Jacqueline Ann Kelly, Gerald David Matier and Wilma Simone van Norden are appointed as directors for terms ending July 31, 2023;
- (b) effective June 14, 2019, Jo-Ann Shelley Hannah, Joanne Adele Hausch and Bruce Howell are appointed as directors for terms ending July 31, 2022.

  
Minister of Finance and Deputy Premier

  
Presiding Member of the Executive Council

---

*(This part is for administrative purposes only and is not part of the Order.)*

**Authority under which Order is made:**

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_

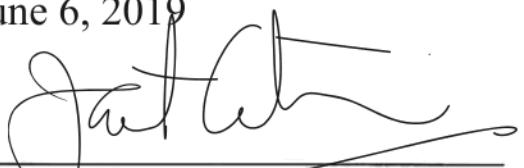
PROVINCE OF BRITISH COLUMBIA

ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL

Order in Council No. 287

, Approved and Ordered

June 6, 2019

  
Lieutenant Governor

Executive Council Chambers, Victoria

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that Wilma Simone van Norden is designated as vice chair of the board of directors of the BC Financial Services Authority for a term ending July 31, 2023.

  
Minister of Finance and Deputy Premier  
Presiding Member of the Executive Council

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*(This part is for administrative purposes only and is not part of the Order.)*

Authority under which Order is made:

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_



382548

JUN 14 2019

Charles Michael Grist  
s.22

Dear Michael Grist:

I am pleased to advise you of your appointment as a director of the BC Financial Services Authority (the Authority) for a term effective June 5, 2019 and ending July 31, 2023. A Certificate of Appointment and a copy of Order in Council 285/19 making your appointment are enclosed. As a member of the Authority, you will also be a director of the Credit Union Deposit Insurance Corporation.

Also included are indemnity forms. Please sign and return the original, retaining a copy for your files, to:

Leanne Allen, Appointments and Legislation Coordinator  
Financial and Corporate Sector Policy Branch  
Ministry of Finance  
PO Box 9418 STN PROV GOVT  
Victoria BC V8W 9V1

As you are aware, the BC Financial Services Authority, established as a Crown agency under the *Financial Services Authority Act*, must exercise and perform the duties of the Authority under that act, the *Financial Institutions Act*, *Credit Union Incorporation Act*, *Insurance Act*, *Insurance (Captive Company) Act*, *Mortgage Brokers Act* and *Pension Benefits Standards Act*. As a member of the Authority, you have a critical role to play in transitioning regulatory authority from the Financial Institutions Commission and you also have a role in making significant regulatory decisions and ensuring the Authority performs its regulatory responsibilities both efficiently and effectively and regulates the province's financial services industry in a balanced and equitable manner.

.../2

The government is pleased that you have agreed to be appointed to this very important position. Best wishes for a successful and enjoyable term.

Sincerely,

A handwritten signature in cursive script, reading "Carole James".

Carole James  
Minister and Deputy Premier

Attachments

cc: Tara Richards  
Chief Executive Officer  
Financial Institutions Commission

Stanley W. Hamilton, Chair  
Financial Institutions Commission




Canada

**Province of British Columbia**

PURSUANT to the *Financial Services Authority Act* and  
Order in Council 285, approved and ordered June 5, 2019,

**Charles Michael Grist**

is appointed as a director of the  
**BC Financial Services Authority**  
for a term ending July 31, 2023.

  
*Nicole James*  
Minister of Finance and  
Deputy Premier

  
*John J. Horgan*  
Premier and President of the  
Executive Council

**GOVERNMENT APPOINTEES TO AGENCIES,  
BOARDS AND COMMISSIONS INDEMNITY**

Her Majesty the Queen in Right of the Province of British Columbia (the "Province") has agreed to grant this Indemnity on the terms and conditions hereinafter set forth to Charles Michael Grist (the "Appointee")

who has been appointed to the BC Financial Services Authority and CUDIC (the "Entity").  
(NAME OF ENTITY)

1. Notwithstanding the actual date of execution and delivery of this Indemnity, the term of this Indemnity will be conclusively deemed to commence on the day upon which the appointment first became effective and will end on the effective date of termination of the appointment, provided however, this Indemnity will remain in full force and effect in respect of all acts or omissions covered hereunder occurring during the term.
2. Subject to sections 3, 4, and 6 of this Indemnity, the Province will indemnify and save harmless the Appointee from and against any and all claims, liabilities, losses, damages, costs, charges and expenses which the Appointee may sustain, incur or be liable for in carrying out the duties as Appointee to the Entity.
3. The Province will not be obligated to indemnify or save harmless the Appointee from and against any claim, liability, loss, damage, cost, charge, or expense arising out of any act, error or omission of the Appointee that results from the Appointee failing to act honestly or in good faith or in the best interests of the Entity, or that arises out of any act, error or omission outside the course of the Appointee's duties, or for any fine or penalty imposed on the Appointee by law, or arising out of a dishonest, fraudulent, criminal or malicious act.
4. The Province will not be obligated to indemnify or save harmless the Appointee in respect of any liability against which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance. Where a partial indemnity is provided by such insurance, the obligation of the Province will be limited to that portion of the liability for which an indemnity is not provided by such policy, subject to the conditions of this Indemnity.
5. In the event the Province indemnifies the Appointee in accordance with this Indemnity, the Province will be subrogated to all rights of the Appointee.
6. Upon the Appointee becoming aware of any pending or threatened claim, action, suit or proceeding by which the Province could become liable under this Indemnity, written notice will be given by or on behalf of the Appointee to the Province as soon as is practicable.
7. Subject to section 6 of this Indemnity, the Province will defend, at its expense, any suit, action or proceeding, against the Appointee that, if successful, would result in a liability contemplated by section 2 (except in respect of criminal proceedings or any liability for which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance).
8. Any notice, statement, other document or payment that either party may be required or may desire to give or deliver to the other will be conclusively deemed validly given or delivered to and received by the addressee, if delivered personally, on the date of such personal delivery or, if mailed, on the fifth business day after the mailing of the same in British Columbia by prepaid post addressed, or if sent by fax, on the day of transmission, if to the Province at:

PO Box 9405 Stn Prov Govt  
Victoria BC V8W 9V1

Fax Number: 250 356-6222  
Attention: Executive Director  
Risk Management Branch  
Ministry of Finance

and, if to the Appointee, at the address or fax number as shown on this Indemnity, or at such changed address/fax number as the parties will have duly notified one another.

9. This Indemnity will be construed in accordance with and be governed by the laws of the Province of British Columbia.

This indemnity is given on behalf of the Province by a duly authorized representative of the Minister of Finance and Deputy Premier  
(LIEUTENANT GOVERNOR IN COUNCIL OR MINISTER)

the form of which has been approved by the Executive Director, Risk Management Branch, Ministry of Finance.

X [Signature] (WITNESS SIGNATURE) X [Signature] (AUTHORIZED REPRESENTATIVE OF THE PROVINCE SIGNATURE)

The Appointee, Charles Michael Grist, of s.22  
(PRINT NAME OF APPOINTEE) (ADDRESS OF APPOINTEE AND FAX NUMBER, IF ANY)

hereby agrees to the terms of this Indemnity.

The Appointee represents and warrants to the Province that, to the best of the Appointee's knowledge, there are no actions, suits or proceedings pending or threatened that could lead to a claim, liability, loss, damage, cost, charge or expense described in section 2 of this Indemnity except:  
(INSERT "NONE" OR DESCRIPTION)

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

X \_\_\_\_\_ (WITNESS SIGNATURE) PRINT NAME AND ADDRESS X \_\_\_\_\_ (APPOINTEE SIGNATURE)

**THIS INDEMNITY CONTAINS CLAUSES THAT LIMIT COVERAGE AND REQUIRE CERTAIN PERFORMANCE BY THE APPOINTEE**

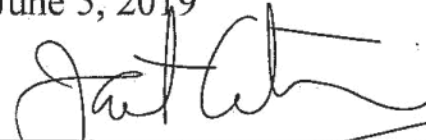


**PROVINCE OF BRITISH COLUMBIA**  
**ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL**

Order in Council No. 285

, Approved and Ordered


June 5, 2019


  
Lieutenant Governor

**Executive Council Chambers, Victoria**

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that the following appointments to the board of directors of the BC Financial Services Authority are made:

- (a) effective June 5, 2019,
  - (i) Stanley W. Hamilton is appointed as a director and designated as chair for a term ending July 31, 2022,
  - (ii) Shannon Nicola Salter and Joel J. Whittemore are appointed as directors for terms ending July 31, 2021, and
  - (iii) Charles Michael Grist, Jacqueline Ann Kelly, Gerald David Matier and Wilma Simone van Norden are appointed as directors for terms ending July 31, 2023;
- (b) effective June 14, 2019, Jo-Ann Shelley Hannah, Joanne Adele Hausch and Bruce Howell are appointed as directors for terms ending July 31, 2022.

  
Minister of Finance and Deputy Premier

  
Presiding Member of the Executive Council

---

*(This part is for administrative purposes only and is not part of the Order.)*

Authority under which Order is made:

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_



JUN 14 2019

382548

Stanley William Hamilton  
s.22

Dear Stanley Hamilton:

I am pleased to advise you of your appointment as a director and chair of the BC Financial Services Authority (the Authority) for a term effective June 5, 2019 and ending July 31, 2022. A Certificate of Appointment and a copy of Order in Council 285/19 making your appointment are enclosed. As a member of the Authority, you will also be a director of the Credit Union Deposit Insurance Corporation.

Also included are indemnity forms. Please sign and return the original, retaining a copy for your files, to:

Leanne Allen, Appointments and Legislation Coordinator  
Financial and Corporate Sector Policy Branch  
Ministry of Finance  
PO Box 9418 STN PROV GOVT  
Victoria BC V8W 9V1

As you are aware, the BC Financial Services Authority, established as a Crown agency under the *Financial Services Authority Act*, must exercise and perform the duties of the Authority under that act, the *Financial Institutions Act*, *Credit Union Incorporation Act*, *Insurance Act*, *Insurance (Captive Company) Act*, *Mortgage Brokers Act* and *Pension Benefits Standards Act*. As a member of the Authority, you have a critical role to play in transitioning regulatory authority from the Financial Institutions Commission and you also have a role in making significant regulatory decisions and ensuring the Authority performs its regulatory responsibilities both efficiently and effectively and regulates the province's financial services industry in a balanced and equitable manner.

.../2

The government is pleased that you have agreed to be appointed to this very important position. Best wishes for a successful and enjoyable term.

Sincerely,

A handwritten signature in cursive script, reading "Carole James".

Carole James  
Minister and Deputy Premier

Attachments

cc: Tara Richards  
Chief Executive Officer  
Financial Institutions Commission

Stanley W. Hamilton, Chair  
Financial Institutions Commission



Canada

**Province of British Columbia**

PURSUANT to the *Financial Services Authority Act* and  
Order in Council 285, approved and ordered June 5, 2019,

**Stanley W. Hamilton**

is appointed as a director and designated as chair of the  
**BC Financial Services Authority**  
for a term ending July 31, 2022.

A handwritten signature in cursive script, reading "Carole James".

*Minister of Finance and  
Deputy Premier*

A handwritten signature in cursive script, reading "John J. Horgan".

*Premier and President of the  
Executive Council*

**GOVERNMENT APPOINTEES TO AGENCIES,  
BOARDS AND COMMISSIONS INDEMNITY**

Her Majesty the Queen in Right of the Province of British Columbia (the "Province") has agreed to grant this Indemnity on the terms and conditions hereinafter set forth to Stanley William Hamilton (the "Appointee")

who has been appointed to the BC Financial Services Authority and CUDIC (the "Entity").  
(NAME OF ENTITY)

1. Notwithstanding the actual date of execution and delivery of this Indemnity, the term of this Indemnity will be conclusively deemed to commence on the day upon which the appointment first became effective and will end on the effective date of termination of the appointment, provided however, this Indemnity will remain in full force and effect in respect of all acts or omissions covered hereunder occurring during the term.
2. Subject to sections 3, 4, and 6 of this Indemnity, the Province will indemnify and save harmless the Appointee from and against any and all claims, liabilities, losses, damages, costs, charges and expenses which the Appointee may sustain, incur or be liable for in carrying out the duties as Appointee to the Entity.
3. The Province will not be obligated to indemnify or save harmless the Appointee from and against any claim, liability, loss, damage, cost, charge, or expense arising out of any act, error or omission of the Appointee that results from the Appointee failing to act honestly or in good faith or in the best interests of the Entity, or that arises out of any act, error or omission outside the course of the Appointee's duties, or for any fine or penalty imposed on the Appointee by law, or arising out of a dishonest, fraudulent, criminal or malicious act.
4. The Province will not be obligated to indemnify or save harmless the Appointee in respect of any liability against which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance. Where a partial indemnity is provided by such insurance, the obligation of the Province will be limited to that portion of the liability for which an indemnity is not provided by such policy, subject to the conditions of this Indemnity.
5. In the event the Province indemnifies the Appointee in accordance with this Indemnity, the Province will be subrogated to all rights of the Appointee.
6. Upon the Appointee becoming aware of any pending or threatened claim, action, suit or proceeding by which the Province could become liable under this Indemnity, written notice will be given by or on behalf of the Appointee to the Province as soon as is practicable.
7. Subject to section 6 of this Indemnity, the Province will defend, at its expense, any suit, action or proceeding, against the Appointee that, if successful, would result in a liability contemplated by section 2 (except in respect of criminal proceedings or any liability for which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance).
8. Any notice, statement, other document or payment that either party may be required or may desire to give or deliver to the other will be conclusively deemed validly given or delivered to and received by the addressee, if delivered personally, on the date of such personal delivery or, if mailed, on the fifth business day after the mailing of the same in British Columbia by prepaid post addressed, or if sent by fax, on the day of transmission, if to the Province at:

PO Box 9405 Stn Prov Govt  
Victoria BC V8W 9V1

Fax Number: 250 356-6222  
Attention: Executive Director  
Risk Management Branch  
Ministry of Finance

and, if to the Appointee, at the address or fax number as shown on this Indemnity, or at such changed address/fax number as the parties will have duly notified one another.

9. This Indemnity will be construed in accordance with and be governed by the laws of the Province of British Columbia.

This indemnity is given on behalf of the Province by a duly authorized representative of the Minister of Finance and Deputy Premier  
(LIEUTENANT GOVERNOR IN COUNCIL OR MINISTER)

the form of which has been approved by the Executive Director, Risk Management Branch, Ministry of Finance.

X [Signature]  
(WITNESS SIGNATURE)

X [Signature]  
(AUTHORIZED REPRESENTATIVE OF THE PROVINCE SIGNATURE)

The Appointee, Stanley William Hamilton, of S.22  
(PRINT NAME OF APPOINTEE) (ADDRESS OF APPOINTEE AND FAX NUMBER, IF ANY)

hereby agrees to the terms of this Indemnity.

The Appointee represents and warrants to the Province that, to the best of the Appointee's knowledge, there are no actions, suits or proceedings pending or threatened that could lead to a claim, liability, loss, damage, cost, charge or expense described in section 2 of this Indemnity except:  
(INSERT "NONE" OR DESCRIPTION)

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

X \_\_\_\_\_  
(WITNESS SIGNATURE) (PRINT NAME AND ADDRESS)

X \_\_\_\_\_  
(APPOINTEE SIGNATURE)

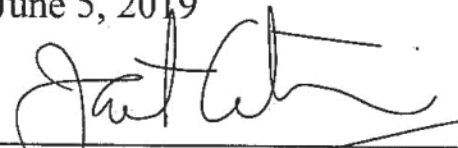
**THIS INDEMNITY CONTAINS CLAUSES THAT LIMIT COVERAGE AND REQUIRE CERTAIN PERFORMANCE BY THE APPOINTEE**

**PROVINCE OF BRITISH COLUMBIA**  
**ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL**

Order in Council No. 285

, Approved and Ordered

June 5, 2019


  
Lieutenant Governor

**Executive Council Chambers, Victoria**

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that the following appointments to the board of directors of the BC Financial Services Authority are made:

- (a) effective June 5, 2019,
  - (i) Stanley W. Hamilton is appointed as a director and designated as chair for a term ending July 31, 2022,
  - (ii) Shannon Nicola Salter and Joel J. Whittemore are appointed as directors for terms ending July 31, 2021, and
  - (iii) Charles Michael Grist, Jacqueline Ann Kelly, Gerald David Matier and Wilma Simone van Norden are appointed as directors for terms ending July 31, 2023;
- (b) effective June 14, 2019, Jo-Ann Shelley Hannah, Joanne Adele Hausch and Bruce Howell are appointed as directors for terms ending July 31, 2022.

  
Minister of Finance and Deputy Premier

  
Presiding Member of the Executive Council

---

*(This part is for administrative purposes only and is not part of the Order.)*

Authority under which Order is made:

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_



382548

JUN 14 2019

Jo-Ann Shelley Hannah  
s.22

Dear Jo-Ann Hannah:

I am pleased to advise you of your appointment as a director of the BC Financial Services Authority (the Authority) for a term effective June 14, 2019 and ending July 31, 2022. A Certificate of Appointment and a copy of Order in Council 285/19 making your appointment are enclosed. As a member of the Authority, you will also be a director of the Credit Union Deposit Insurance Corporation.

Also included are indemnity forms. Please sign and return the original, retaining a copy for your files, to:

Leanne Allen, Appointments and Legislation Coordinator  
Financial and Corporate Sector Policy Branch  
Ministry of Finance  
PO Box 9418 STN PROV GOVT  
Victoria BC V8W 9V1

As you are aware, the BC Financial Services Authority, established as a Crown agency under the *Financial Services Authority Act*, must exercise and perform the duties of the Authority under that act, the *Financial Institutions Act*, *Credit Union Incorporation Act*, *Insurance Act*, *Insurance (Captive Company) Act*, *Mortgage Brokers Act* and *Pension Benefits Standards Act*. As a member of the Authority, you have a critical role to play in transitioning regulatory authority from the Financial Institutions Commission and you also have a role in making significant regulatory decisions and ensuring the Authority performs its regulatory responsibilities both efficiently and effectively and regulates the province's financial services industry in a balanced and equitable manner.

.../2

The government is pleased that you have agreed to be appointed to this very important position. Best wishes for a successful and enjoyable term.

Sincerely,

A handwritten signature in cursive script, reading "Carole James".

Carole James  
Minister and Deputy Premier

Attachments

cc: Tara Richards  
Chief Executive Officer  
Financial Institutions Commission

Stanley W. Hamilton, Chair  
Financial Institutions Commission





Canada  
Province of British Columbia

PURSUANT to the *Financial Services Authority Act* and  
Order in Council 285, approved and ordered June 5, 2019, and  
effective June 14, 2019,

**Jo-Ann Shelley Hannah**

is appointed as a director of the  
**BC Financial Services Authority**  
for a term ending July 31, 2022.

  
*Minister of Finance and  
Deputy Premier*

  
*Premier and President of the  
Executive Council*



## GOVERNMENT APPOINTEES TO AGENCIES, BOARDS AND COMMISSIONS INDEMNITY

Her Majesty the Queen in Right of the Province of British Columbia (the "Province") has agreed to grant this Indemnity on the terms and conditions hereinafter set forth to Jo-Ann Shelley Hannah (the "Appointee")

who has been appointed to the BC Financial Services Authority and CUDIC (the "Entity").  
(NAME OF ENTITY)

- Notwithstanding the actual date of execution and delivery of this Indemnity, the term of this Indemnity will be conclusively deemed to commence on the day upon which the appointment first became effective and will end on the effective date of termination of the appointment, provided however, this Indemnity will remain in full force and effect in respect of all acts or omissions covered hereunder occurring during the term.
- Subject to sections 3, 4, and 6 of this Indemnity, the Province will indemnify and save harmless the Appointee from and against any and all claims, liabilities, losses, damages, costs, charges and expenses which the Appointee may sustain, incur or be liable for in carrying out the duties as Appointee to the Entity.
- The Province will not be obligated to indemnify or save harmless the Appointee from and against any claim, liability, loss, damage, cost, charge, or expense arising out of any act, error or omission of the Appointee that results from the Appointee failing to act honestly or in good faith or in the best interests of the Entity, or that arises out of any act, error or omission outside the course of the Appointee's duties, or for any fine or penalty imposed on the Appointee by law, or arising out of a dishonest, fraudulent, criminal or malicious act.
- The Province will not be obligated to indemnify or save harmless the Appointee in respect of any liability against which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance. Where a partial indemnity is provided by such insurance, the obligation of the Province will be limited to that portion of the liability for which an indemnity is not provided by such policy, subject to the conditions of this Indemnity.
- In the event the Province indemnifies the Appointee in accordance with this Indemnity, the Province will be subrogated to all rights of the Appointee.
- Upon the Appointee becoming aware of any pending or threatened claim, action, suit or proceeding by which the Province could become liable under this Indemnity, written notice will be given by or on behalf of the Appointee to the Province as soon as is practicable.
- Subject to section 6 of this Indemnity, the Province will defend, at its expense, any suit, action or proceeding, against the Appointee that, if successful, would result in a liability contemplated by section 2 (except in respect of criminal proceedings or any liability for which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance).
- Any notice, statement, other document or payment that either party may be required or may desire to give or deliver to the other will be conclusively deemed validly given or delivered to and received by the addressee, if delivered personally, on the date of such personal delivery or, if mailed, on the fifth business day after the mailing of the same in British Columbia by prepaid post addressed, or if sent by fax, on the day of transmission, if to the Province at:

PO Box 9405 Stn Prov Govt  
Victoria BC V8W 9V1

Fax Number: 250 356-6222  
Attention: Executive Director  
Risk Management Branch  
Ministry of Finance

and, if to the Appointee, at the address or fax number as shown on this Indemnity, or at such changed address/fax number as the parties will have duly notified one another.

- This Indemnity will be construed in accordance with and be governed by the laws of the Province of British Columbia.

This indemnity is given on behalf of the Province by a duly authorized representative of the Minister of Finance and Deputy Premier  
(LIEUTENANT GOVERNOR IN COUNCIL OR MINISTER)

the form of which has been approved by the Executive Director, Risk Management Branch, Ministry of Finance.

X [Signature] X [Signature]  
(WITNESS SIGNATURE) (AUTHORIZED REPRESENTATIVE OF THE PROVINCE SIGNATURE)

The Appointee, Jo-Ann Shelley Hannah, of s.22  
(PRINT NAME OF APPOINTEE) (ADDRESS OF APPOINTEE AND FAX NUMBER, IF ANY)

hereby agrees to the terms of this Indemnity.

The Appointee represents and warrants to the Province that, to the best of the Appointee's knowledge, there are no actions, suits or proceedings pending or threatened that could lead to a claim, liability, loss, damage, cost, charge or expense described in section 2 of this Indemnity except:  
(INSERT "NONE" OR DESCRIPTION)

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

X \_\_\_\_\_ X \_\_\_\_\_  
(WITNESS SIGNATURE) PRINT NAME AND ADDRESS (APPOINTEE SIGNATURE)

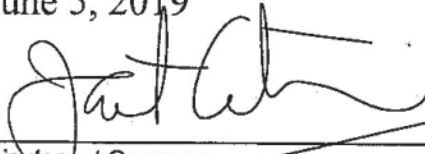
**THIS INDEMNITY CONTAINS CLAUSES THAT LIMIT COVERAGE AND REQUIRE CERTAIN PERFORMANCE BY THE APPOINTEE**

**PROVINCE OF BRITISH COLUMBIA**  
**ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL**

Order in Council No. 285

, Approved and Ordered

June 5, 2019


  
Lieutenant Governor

**Executive Council Chambers, Victoria**

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that the following appointments to the board of directors of the BC Financial Services Authority are made:

- (a) effective June 5, 2019,
  - (i) Stanley W. Hamilton is appointed as a director and designated as chair for a term ending July 31, 2022,
  - (ii) Shannon Nicola Salter and Joel J. Whittemore are appointed as directors for terms ending July 31, 2021, and
  - (iii) Charles Michael Grist, Jacqueline Ann Kelly, Gerald David Matier and Wilma Simone van Norden are appointed as directors for terms ending July 31, 2023;
- (b) effective June 14, 2019, Jo-Ann Shelley Hannah, Joanne Adele Hausch and Bruce Howell are appointed as directors for terms ending July 31, 2022.

  
Minister of Finance and Deputy Premier

  
Presiding Member of the Executive Council

---

*(This part is for administrative purposes only and is not part of the Order.)*

Authority under which Order is made:

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_



382548

JUN 14 2019

Joanne Adele Hausch  
s.22

Dear Joanne Hausch:

I am pleased to advise you of your appointment as a director of the BC Financial Services Authority (the Authority) for a term effective June 14, 2019 and ending July 31, 2022. A Certificate of Appointment and a copy of Order in Council 285/19 making your appointment are enclosed. As a member of the Authority, you will also be a director of the Credit Union Deposit Insurance Corporation.

Also included are indemnity forms. Please sign and return the original, retaining a copy for your files, to:

Leanne Allen, Appointments and Legislation Coordinator  
Financial and Corporate Sector Policy Branch  
Ministry of Finance  
PO Box 9418 STN PROV GOVT  
Victoria BC V8W 9V1

As you are aware, the BC Financial Services Authority, established as a Crown agency under the *Financial Services Authority Act*, must exercise and perform the duties of the Authority under that act, the *Financial Institutions Act*, *Credit Union Incorporation Act*, *Insurance Act*, *Insurance (Captive Company) Act*, *Mortgage Brokers Act* and *Pension Benefits Standards Act*. As a member of the Authority, you have a critical role to play in transitioning regulatory authority from the Financial Institutions Commission and you also have a role in making significant regulatory decisions and ensuring the Authority performs its regulatory responsibilities both efficiently and effectively and regulates the province's financial services industry in a balanced and equitable manner.

.../2

The government is pleased that you have agreed to be appointed to this very important position.  
Best wishes for a successful and enjoyable term.

Sincerely,

A handwritten signature in cursive script, appearing to read "Carole James".

Carole James  
Minister and Deputy Premier

Attachments

cc: Tara Richards  
Chief Executive Officer  
Financial Institutions Commission

Stanley W. Hamilton, Chair  
Financial Institutions Commission



Canada

Province of British Columbia

PURSUANT to the *Financial Services Authority Act* and  
Order in Council 285, approved and ordered June 5, 2019, and  
effective June 14, 2019,

**Joanne Adele Hausch**

is appointed as a director of the  
**BC Financial Services Authority**  
for a term ending July 31, 2022.

  
*Minister of Finance and  
Deputy Premier*

  
*Premier and President of the  
Executive Council*



## GOVERNMENT APPOINTEES TO AGENCIES, BOARDS AND COMMISSIONS INDEMNITY

Her Majesty the Queen in Right of the Province of British Columbia (the "Province") has agreed to grant this Indemnity on the terms and conditions hereinafter set forth to Joanne Adele Hausch (the "Appointee")

who has been appointed to the BC Financial Services Authority and CUDIC (the "Entity").  
(NAME OF ENTITY)

1. Notwithstanding the actual date of execution and delivery of this Indemnity, the term of this Indemnity will be conclusively deemed to commence on the day upon which the appointment first became effective and will end on the effective date of termination of the appointment, provided however, this Indemnity will remain in full force and effect in respect of all acts or omissions covered hereunder occurring during the term.
2. Subject to sections 3, 4, and 6 of this Indemnity, the Province will indemnify and save harmless the Appointee from and against any and all claims, liabilities, losses, damages, costs, charges and expenses which the Appointee may sustain, incur or be liable for in carrying out the duties as Appointee to the Entity.
3. The Province will not be obligated to indemnify or save harmless the Appointee from and against any claim, liability, loss, damage, cost, charge, or expense arising out of any act, error or omission of the Appointee that results from the Appointee failing to act honestly or in good faith or in the best interests of the Entity, or that arises out of any act, error or omission outside the course of the Appointee's duties, or for any fine or penalty imposed on the Appointee by law, or arising out of a dishonest, fraudulent, criminal or malicious act.
4. The Province will not be obligated to indemnify or save harmless the Appointee in respect of any liability against which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance. Where a partial indemnity is provided by such insurance, the obligation of the Province will be limited to that portion of the liability for which an indemnity is not provided by such policy, subject to the conditions of this Indemnity.
5. In the event the Province indemnifies the Appointee in accordance with this Indemnity, the Province will be subrogated to all rights of the Appointee.
6. Upon the Appointee becoming aware of any pending or threatened claim, action, suit or proceeding by which the Province could become liable under this Indemnity, written notice will be given by or on behalf of the Appointee to the Province as soon as is practicable.
7. Subject to section 6 of this Indemnity, the Province will defend, at its expense, any suit, action or proceeding, against the Appointee that, if successful, would result in a liability contemplated by section 2 (except in respect of criminal proceedings or any liability for which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance).
8. Any notice, statement, other document or payment that either party may be required or may desire to give or deliver to the other will be conclusively deemed validly given or delivered to and received by the addressee, if delivered personally, on the date of such personal delivery or, if mailed, on the fifth business day after the mailing of the same in British Columbia by prepaid post addressed, or if sent by fax, on the day of transmission, if to the Province at:

PO Box 9405 Stn Prov Govt  
Victoria BC V8W 9V1

Fax Number: 250 356-6222  
Attention: Executive Director  
Risk Management Branch  
Ministry of Finance

and, if to the Appointee, at the address or fax number as shown on this Indemnity, or at such changed address/fax number as the parties will have duly notified one another.

9. This Indemnity will be construed in accordance with and be governed by the laws of the Province of British Columbia.

This indemnity is given on behalf of the Province by a duly authorized representative of the Minister of Finance and Deputy Premier,  
(LIEUTENANT GOVERNOR IN COUNCIL OR MINISTER)  
the form of which has been approved by the Executive Director, Risk Management Branch, Ministry of Finance.

X [Signature]  
(WITNESS SIGNATURE)

X [Signature]  
(AUTHORIZED REPRESENTATIVE OF THE PROVINCE SIGNATURE)

The Appointee, Joanne Adele Hausch, of s.22,  
(PRINT NAME OF APPOINTEE) (ADDRESS OF APPOINTEE AND FAX NUMBER, IF ANY)

hereby agrees to the terms of this Indemnity.

The Appointee represents and warrants to the Province that, to the best of the Appointee's knowledge, there are no actions, suits or proceedings pending or threatened that could lead to a claim, liability, loss, damage, cost, charge or expense described in section 2 of this Indemnity except:  
(INSERT "NONE" OR DESCRIPTION)

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

X \_\_\_\_\_  
(WITNESS SIGNATURE) PRINT NAME AND ADDRESS

X \_\_\_\_\_  
(APPOINTEE SIGNATURE)

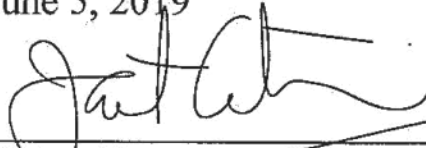
**THIS INDEMNITY CONTAINS CLAUSES THAT LIMIT COVERAGE AND REQUIRE CERTAIN PERFORMANCE BY THE APPOINTEE**

**PROVINCE OF BRITISH COLUMBIA**  
**ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL**

Order in Council No. 285

, Approved and Ordered


June 5, 2019


  
Lieutenant Governor

**Executive Council Chambers, Victoria**

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that the following appointments to the board of directors of the BC Financial Services Authority are made:

- (a) effective June 5, 2019,
  - (i) Stanley W. Hamilton is appointed as a director and designated as chair for a term ending July 31, 2022,
  - (ii) Shannon Nicola Salter and Joel J. Whittemore are appointed as directors for terms ending July 31, 2021, and
  - (iii) Charles Michael Grist, Jacqueline Ann Kelly, Gerald David Matier and Wilma Simone van Norden are appointed as directors for terms ending July 31, 2023;
- (b) effective June 14, 2019, Jo-Ann Shelley Hannah, Joanne Adele Hausch and Bruce Howell are appointed as directors for terms ending July 31, 2022.

  
Minister of Finance and Deputy Premier

  
Presiding Member of the Executive Council

*(This part is for administrative purposes only and is not part of the Order.)*

**Authority under which Order is made:**

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_





382548

JUN 14 2019

Bruce Howell  
s.22

Dear Bruce Howell:

I am pleased to advise you of your appointment as a director of the BC Financial Services Authority (the Authority) for a term effective June 14, 2019 and ending July 31, 2022. A Certificate of Appointment and a copy of Order in Council 285/19 making your appointment are enclosed. As a member of the Authority, you will also be a director of the Credit Union Deposit Insurance Corporation.

Also included are indemnity forms. Please sign and return the original, retaining a copy for your files, to:

Leanne Allen, Appointments and Legislation Coordinator  
Financial and Corporate Sector Policy Branch  
Ministry of Finance  
PO Box 9418 STN PROV GOVT  
Victoria BC V8W 9V1

As you are aware, the BC Financial Services Authority, established as a Crown agency under the *Financial Services Authority Act*, must exercise and perform the duties of the Authority under that act, the *Financial Institutions Act*, *Credit Union Incorporation Act*, *Insurance Act*, *Insurance (Captive Company) Act*, *Mortgage Brokers Act* and *Pension Benefits Standards Act*. As a member of the Authority, you have a critical role to play in transitioning regulatory authority from the Financial Institutions Commission and you also have a role in making significant regulatory decisions and ensuring the Authority performs its regulatory responsibilities both efficiently and effectively and regulates the province's financial services industry in a balanced and equitable manner.

.../2

The government is pleased that you have agreed to be appointed to this very important position. Best wishes for a successful and enjoyable term.

Sincerely,

A handwritten signature in cursive script, reading "Carole James".

Carole James  
Minister and Deputy Premier

Attachments

cc: Tara Richards  
Chief Executive Officer  
Financial Institutions Commission

Stanley W. Hamilton, Chair  
Financial Institutions Commission



Canada  
Province of British Columbia

PURSUANT to the *Financial Services Authority Act* and  
Order in Council 285, approved and ordered June 5, 2019, and  
effective June 14, 2019,

**Bruce Howell**

is appointed as a director of the  
**BC Financial Services Authority**  
for a term ending July 31, 2022.

A handwritten signature in cursive script, reading "Carole James".

*Minister of Finance and  
Deputy Premier*

A handwritten signature in cursive script, reading "John J. Horgan".

*Premier and President of the  
Executive Council*

**GOVERNMENT APPOINTEES TO AGENCIES,  
BOARDS AND COMMISSIONS INDEMNITY**

Her Majesty the Queen in Right of the Province of British Columbia (the "Province") has agreed to grant this Indemnity on the terms and conditions hereinafter set forth to Bruce Howell (the "Appointee")

who has been appointed to the BC Financial Services Authority and CUDIC (the "Entity").  
(NAME OF ENTITY)

1. Notwithstanding the actual date of execution and delivery of this Indemnity, the term of this Indemnity will be conclusively deemed to commence on the day upon which the appointment first became effective and will end on the effective date of termination of the appointment, provided however, this Indemnity will remain in full force and effect in respect of all acts or omissions covered hereunder occurring during the term.
2. Subject to sections 3, 4, and 6 of this Indemnity, the Province will indemnify and save harmless the Appointee from and against any and all claims, liabilities, losses, damages, costs, charges and expenses which the Appointee may sustain, incur or be liable for in carrying out the duties as Appointee to the Entity.
3. The Province will not be obligated to indemnify or save harmless the Appointee from and against any claim, liability, loss, damage, cost, charge, or expense arising out of any act, error or omission of the Appointee that results from the Appointee failing to act honestly or in good faith or in the best interests of the Entity, or that arises out of any act, error or omission outside the course of the Appointee's duties, or for any fine or penalty imposed on the Appointee by law, or arising out of a dishonest, fraudulent, criminal or malicious act.
4. The Province will not be obligated to indemnify or save harmless the Appointee in respect of any liability against which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance. Where a partial indemnity is provided by such insurance, the obligation of the Province will be limited to that portion of the liability for which an indemnity is not provided by such policy, subject to the conditions of this Indemnity.
5. In the event the Province indemnifies the Appointee in accordance with this Indemnity, the Province will be subrogated to all rights of the Appointee.
6. Upon the Appointee becoming aware of any pending or threatened claim, action, suit or proceeding by which the Province could become liable under this Indemnity, written notice will be given by or on behalf of the Appointee to the Province as soon as is practicable.
7. Subject to section 6 of this Indemnity, the Province will defend, at its expense, any suit, action or proceeding, against the Appointee that, if successful, would result in a liability contemplated by section 2 (except in respect of criminal proceedings or any liability for which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance).
8. Any notice, statement, other document or payment that either party may be required or may desire to give or deliver to the other will be conclusively deemed validly given or delivered to and received by the addressee, if delivered personally, on the date of such personal delivery or, if mailed, on the fifth business day after the mailing of the same in British Columbia by prepaid post addressed, or if sent by fax, on the day of transmission, if to the Province at:

PO Box 9405 Stn Prov Govt  
Victoria BC V8W 9V1

Fax Number: 250 356-6222  
Attention: Executive Director  
Risk Management Branch  
Ministry of Finance

and, if to the Appointee, at the address or fax number as shown on this Indemnity, or at such changed address/fax number as the parties will have duly notified one another.

9. This Indemnity will be construed in accordance with and be governed by the laws of the Province of British Columbia.

This indemnity is given on behalf of the Province by a duly authorized representative of the Minister of Finance and Deputy Premier  
(LIEUTENANT GOVERNOR IN COUNCIL OR MINISTER)  
the form of which has been approved by the Executive Director, Risk Management Branch, Ministry of Finance.

X [Signature]  
(WITNESS SIGNATURE)

X [Signature]  
(AUTHORIZED REPRESENTATIVE OF THE PROVINCE SIGNATURE)

The Appointee, Bruce Howell, of S.22  
(PRINT NAME OF APPOINTEE) (ADDRESS OF APPOINTEE AND FAX NUMBER, IF ANY)

hereby agrees to the terms of this Indemnity.

The Appointee represents and warrants to the Province that, to the best of the Appointee's knowledge, there are no actions, suits or proceedings pending or threatened that could lead to a claim, liability, loss, damage, cost, charge or expense described in section 2 of this Indemnity except:  
(INSERT "NONE" OR DESCRIPTION)

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

X \_\_\_\_\_ X \_\_\_\_\_  
(WITNESS SIGNATURE) PRINT NAME AND ADDRESS (APPOINTEE SIGNATURE)

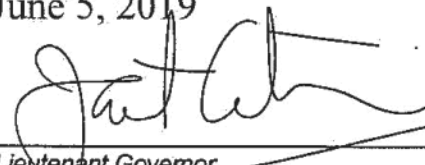
**THIS INDEMNITY CONTAINS CLAUSES THAT LIMIT COVERAGE AND REQUIRE CERTAIN PERFORMANCE BY THE APPOINTEE**

**PROVINCE OF BRITISH COLUMBIA**  
**ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL**

Order in Council No. 285

, Approved and Ordered



June 5, 2019

  
Lieutenant Governor

**Executive Council Chambers, Victoria**

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that the following appointments to the board of directors of the BC Financial Services Authority are made:

- (a) effective June 5, 2019,
  - (i) Stanley W. Hamilton is appointed as a director and designated as chair for a term ending July 31, 2022,
  - (ii) Shannon Nicola Salter and Joel J. Whittemore are appointed as directors for terms ending July 31, 2021, and
  - (iii) Charles Michael Grist, Jacqueline Ann Kelly, Gerald David Matier and Wilma Simone van Norden are appointed as directors for terms ending July 31, 2023;
- (b) effective June 14, 2019, Jo-Ann Shelley Hannah, Joanne Adele Hausch and Bruce Howell are appointed as directors for terms ending July 31, 2022.

  
Minister of Finance and Deputy Premier  
Presiding Member of the Executive Council

---

*(This part is for administrative purposes only and is not part of the Order.)*

**Authority under which Order is made:**

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_



382548

JUN 14 2019

Jacqueline Ann Kelly  
s.22

Dear Jacqueline Kelly:

I am pleased to advise you of your appointment as a director of the BC Financial Services Authority (the Authority) for a term effective June 5, 2019 and ending July 31, 2023. A Certificate of Appointment and a copy of Order in Council 285/19 making your appointment are enclosed. As a member of the Authority, you will also be a director of the Credit Union Deposit Insurance Corporation.

Also included are indemnity forms. Please sign and return the original, retaining a copy for your files, to:

Leanne Allen, Appointments and Legislation Coordinator  
Financial and Corporate Sector Policy Branch  
Ministry of Finance  
PO Box 9418 STN PROV GOVT  
Victoria BC V8W 9V1

As you are aware, the BC Financial Services Authority, established as a Crown agency under the *Financial Services Authority Act*, must exercise and perform the duties of the Authority under that act, the *Financial Institutions Act*, *Credit Union Incorporation Act*, *Insurance Act*, *Insurance (Captive Company) Act*, *Mortgage Brokers Act* and *Pension Benefits Standards Act*. As a member of the Authority, you have a critical role to play in transitioning regulatory authority from the Financial Institutions Commission and you also have a role in making significant regulatory decisions and ensuring the Authority performs its regulatory responsibilities both efficiently and effectively and regulates the province's financial services industry in a balanced and equitable manner.

.../2

The government is pleased that you have agreed to be appointed to this very important position. Best wishes for a successful and enjoyable term.

Sincerely,

A handwritten signature in cursive script, reading "Carole James".

Carole James  
Minister and Deputy Premier

Attachments

cc: Tara Richards  
Chief Executive Officer  
Financial Institutions Commission

Stanley W. Hamilton, Chair  
Financial Institutions Commission



Canada  
Province of British Columbia

PURSUANT to the *Financial Services Authority Act* and  
Order in Council 285, approved and ordered June 5, 2019,

**Jacqueline Ann Kelly**

is appointed as a director of the  
**BC Financial Services Authority**  
for a term ending July 31, 2023.

  
*Minister of Finance and  
Deputy Premier*

  
*Premier and President of the  
Executive Council*



**GOVERNMENT APPOINTEES TO AGENCIES,  
BOARDS AND COMMISSIONS INDEMNITY**

Her Majesty the Queen in Right of the Province of British Columbia (the "Province") has agreed to grant this Indemnity on the terms and conditions hereinafter set forth to Jacqueline Ann Kelly (the "Appointee")

who has been appointed to the BC Financial Services Authority and CUDIC (the "Entity").  
(NAME OF ENTITY)

1. Notwithstanding the actual date of execution and delivery of this Indemnity, the term of this Indemnity will be conclusively deemed to commence on the day upon which the appointment first became effective and will end on the effective date of termination of the appointment, provided however, this Indemnity will remain in full force and effect in respect of all acts or omissions covered hereunder occurring during the term.
2. Subject to sections 3, 4, and 6 of this Indemnity, the Province will indemnify and save harmless the Appointee from and against any and all claims, liabilities, losses, damages, costs, charges and expenses which the Appointee may sustain, incur or be liable for in carrying out the duties as Appointee to the Entity.
3. The Province will not be obligated to indemnify or save harmless the Appointee from and against any claim, liability, loss, damage, cost, charge, or expense arising out of any act, error or omission of the Appointee that results from the Appointee failing to act honestly or in good faith or in the best interests of the Entity, or that arises out of any act, error or omission outside the course of the Appointee's duties, or for any fine or penalty imposed on the Appointee by law, or arising out of a dishonest, fraudulent, criminal or malicious act.
4. The Province will not be obligated to indemnify or save harmless the Appointee in respect of any liability against which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance. Where a partial indemnity is provided by such insurance, the obligation of the Province will be limited to that portion of the liability for which an indemnity is not provided by such policy, subject to the conditions of this Indemnity.
5. In the event the Province indemnifies the Appointee in accordance with this Indemnity, the Province will be subrogated to all rights of the Appointee.
6. Upon the Appointee becoming aware of any pending or threatened claim, action, suit or proceeding by which the Province could become liable under this Indemnity, written notice will be given by or on behalf of the Appointee to the Province as soon as is practicable.
7. Subject to section 6 of this Indemnity, the Province will defend, at its expense, any suit, action or proceeding, against the Appointee that, if successful, would result in a liability contemplated by section 2 (except in respect of criminal proceedings or any liability for which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance).
8. Any notice, statement, other document or payment that either party may be required or may desire to give or deliver to the other will be conclusively deemed validly given or delivered to and received by the addressee, if delivered personally, on the date of such personal delivery or, if mailed, on the fifth business day after the mailing of the same in British Columbia by prepaid post addressed, or if sent by fax, on the day of transmission, if to the Province at:

PO Box 9405 Stn Prov Govt  
Victoria BC V8W 9V1

Fax Number: 250 356-6222  
Attention: Executive Director  
Risk Management Branch  
Ministry of Finance

and, if to the Appointee, at the address or fax number as shown on this Indemnity, or at such changed address/fax number as the parties will have duly notified one another.

9. This Indemnity will be construed in accordance with and be governed by the laws of the Province of British Columbia.

This indemnity is given on behalf of the Province by a duly authorized representative of the Minister of Finance and Deputy Premier  
(LIEUTENANT GOVERNOR IN COUNCIL OR MINISTER)

the form of which has been approved by the Executive Director, Risk Management Branch, Ministry of Finance.

X [Signature]  
(WITNESS SIGNATURE)

X [Signature]  
(AUTHORIZED REPRESENTATIVE OF THE PROVINCE SIGNATURE)

The Appointee, Jacqueline Ann Kelly, of S.22  
(PRINT NAME OF APPOINTEE) (ADDRESS OF APPOINTEE AND FAX NUMBER, IF ANY)

hereby agrees to the terms of this Indemnity.

The Appointee represents and warrants to the Province that, to the best of the Appointee's knowledge, there are no actions, suits or proceedings pending or threatened that could lead to a claim, liability, loss, damage, cost, charge or expense described in section 2 of this Indemnity except:  
(INSERT "NONE" OR DESCRIPTION)

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

X \_\_\_\_\_ X \_\_\_\_\_  
(WITNESS SIGNATURE) PRINT NAME AND ADDRESS (APPOINTEE SIGNATURE)

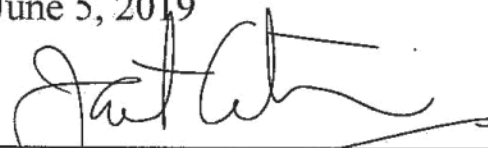
**THIS INDEMNITY CONTAINS CLAUSES THAT LIMIT COVERAGE AND REQUIRE CERTAIN PERFORMANCE BY THE APPOINTEE**

**PROVINCE OF BRITISH COLUMBIA**  
**ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL**

Order in Council No. 285

, Approved and Ordered



June 5, 2019

  
Lieutenant Governor

**Executive Council Chambers, Victoria**

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that the following appointments to the board of directors of the BC Financial Services Authority are made:

- (a) effective June 5, 2019,
  - (i) Stanley W. Hamilton is appointed as a director and designated as chair for a term ending July 31, 2022,
  - (ii) Shannon Nicola Salter and Joel J. Whittemore are appointed as directors for terms ending July 31, 2021, and
  - (iii) Charles Michael Grist, Jacqueline Ann Kelly, Gerald David Matier and Wilma Simone van Norden are appointed as directors for terms ending July 31, 2023;
- (b) effective June 14, 2019, Jo-Ann Shelley Hannah, Joanne Adele Hausch and Bruce Howell are appointed as directors for terms ending July 31, 2022.

  
Minister of Finance and Deputy Premier  
Presiding Member of the Executive Council

---

*(This part is for administrative purposes only and is not part of the Order.)*

Authority under which Order is made:

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_



382548

JUN 14 2019

Gerald David Matier  
s.22

Dear Gerald Matier:

I am pleased to advise you of your appointment as a director of the BC Financial Services Authority (the Authority) for a term effective June 5, 2019 and ending July 31, 2023. A Certificate of Appointment and a copy of Order in Council 285/19 making your appointment are enclosed. As a member of the Authority, you will also be a director of the Credit Union Deposit Insurance Corporation.

Also included are indemnity forms. Please sign and return the original, retaining a copy for your files, to:

Leanne Allen, Appointments and Legislation Coordinator  
Financial and Corporate Sector Policy Branch  
Ministry of Finance  
PO Box 9418 STN PROV GOVT  
Victoria BC V8W 9V1

As you are aware, the BC Financial Services Authority, established as a Crown agency under the *Financial Services Authority Act*, must exercise and perform the duties of the Authority under that act, the *Financial Institutions Act*, *Credit Union Incorporation Act*, *Insurance Act*, *Insurance (Captive Company) Act*, *Mortgage Brokers Act* and *Pension Benefits Standards Act*. As a member of the Authority, you have a critical role to play in transitioning regulatory authority from the Financial Institutions Commission and you also have a role in making significant regulatory decisions and ensuring the Authority performs its regulatory responsibilities both efficiently and effectively and regulates the province's financial services industry in a balanced and equitable manner.

.../2

The government is pleased that you have agreed to be appointed to this very important position. Best wishes for a successful and enjoyable term.

Sincerely,

A handwritten signature in cursive script, reading "Carole James".

Carole James  
Minister and Deputy Premier

Attachments

cc: Tara Richards  
Chief Executive Officer  
Financial Institutions Commission

Stanley W. Hamilton, Chair  
Financial Institutions Commission



Canada

# Province of British Columbia

PURSUANT to the *Financial Services Authority Act* and  
Order in Council 285, approved and ordered June 5, 2019,

**Gerald David Matier**

is appointed as a director of the  
**BC Financial Services Authority**  
for a term ending July 31, 2023.

  
*Minister of Finance and  
Deputy Premier*

  
*Premier and President of the  
Executive Council*

**GOVERNMENT APPOINTEES TO AGENCIES,  
BOARDS AND COMMISSIONS INDEMNITY**

Her Majesty the Queen in Right of the Province of British Columbia (the "Province") has agreed to grant this Indemnity on the terms and conditions hereinafter set forth to Gerald David Matier (the "Appointee")

who has been appointed to the BC Financial Services Authority and CUDIC (the "Entity").  
(NAME OF ENTITY)

1. Notwithstanding the actual date of execution and delivery of this Indemnity, the term of this Indemnity will be conclusively deemed to commence on the day upon which the appointment first became effective and will end on the effective date of termination of the appointment, provided however, this Indemnity will remain in full force and effect in respect of all acts or omissions covered hereunder occurring during the term.
2. Subject to sections 3, 4, and 6 of this Indemnity, the Province will indemnify and save harmless the Appointee from and against any and all claims, liabilities, losses, damages, costs, charges and expenses which the Appointee may sustain, incur or be liable for in carrying out the duties as Appointee to the Entity.
3. The Province will not be obligated to indemnify or save harmless the Appointee from and against any claim, liability, loss, damage, cost, charge, or expense arising out of any act, error or omission of the Appointee that results from the Appointee failing to act honestly or in good faith or in the best interests of the Entity, or that arises out of any act, error or omission outside the course of the Appointee's duties, or for any fine or penalty imposed on the Appointee by law, or arising out of a dishonest, fraudulent, criminal or malicious act.
4. The Province will not be obligated to indemnify or save harmless the Appointee in respect of any liability against which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance. Where a partial indemnity is provided by such insurance, the obligation of the Province will be limited to that portion of the liability for which an indemnity is not provided by such policy, subject to the conditions of this Indemnity.
5. In the event the Province indemnifies the Appointee in accordance with this Indemnity, the Province will be subrogated to all rights of the Appointee.
6. Upon the Appointee becoming aware of any pending or threatened claim, action, suit or proceeding by which the Province could become liable under this Indemnity, written notice will be given by or on behalf of the Appointee to the Province as soon as is practicable.
7. Subject to section 6 of this Indemnity, the Province will defend, at its expense, any suit, action or proceeding, against the Appointee that, if successful, would result in a liability contemplated by section 2 (except in respect of criminal proceedings or any liability for which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance).
8. Any notice, statement, other document or payment that either party may be required or may desire to give or deliver to the other will be conclusively deemed validly given or delivered to and received by the addressee, if delivered personally, on the date of such personal delivery or, if mailed, on the fifth business day after the mailing of the same in British Columbia by prepaid post addressed, or if sent by fax, on the day of transmission, if to the Province at:

PO Box 9405 Stn Prov Govt  
Victoria BC V8W 9V1

Fax Number: 250 356-6222  
Attention: Executive Director  
Risk Management Branch  
Ministry of Finance

and, if to the Appointee, at the address or fax number as shown on this Indemnity, or at such changed address/fax number as the parties will have duly notified one another.

9. This Indemnity will be construed in accordance with and be governed by the laws of the Province of British Columbia.

This indemnity is given on behalf of the Province by a duly authorized representative of the Minister of Finance and Deputy Premier,  
(LIEUTENANT GOVERNOR IN COUNCIL OR MINISTER)  
the form of which has been approved by the Executive Director, Risk Management Branch, Ministry of Finance.

X [Signature]  
(WITNESS SIGNATURE)

X [Signature]  
(AUTHORIZED REPRESENTATIVE OF THE PROVINCE SIGNATURE)

The Appointee, Gerald David Matier, of s.22,  
(PRINT NAME OF APPOINTEE) (ADDRESS OF APPOINTEE AND FAX NUMBER, IF ANY)

hereby agrees to the terms of this Indemnity.

The Appointee represents and warrants to the Province that, to the best of the Appointee's knowledge, there are no actions, suits or proceedings pending or threatened that could lead to a claim, liability, loss, damage, cost, charge or expense described in section 2 of this Indemnity except:  
(INSERT "NONE" OR DESCRIPTION)

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

X \_\_\_\_\_ X \_\_\_\_\_  
(WITNESS SIGNATURE) PRINT NAME AND ADDRESS (APPOINTEE SIGNATURE)

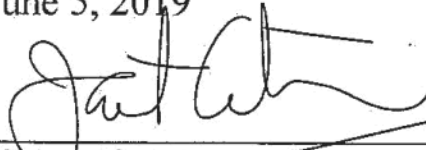
**THIS INDEMNITY CONTAINS CLAUSES THAT LIMIT COVERAGE AND REQUIRE CERTAIN PERFORMANCE BY THE APPOINTEE**

**PROVINCE OF BRITISH COLUMBIA**  
**ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL**

Order in Council No. 285

, Approved and Ordered


June 5, 2019


  
Lieutenant Governor

**Executive Council Chambers, Victoria**

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that the following appointments to the board of directors of the BC Financial Services Authority are made:

- (a) effective June 5, 2019,
  - (i) Stanley W. Hamilton is appointed as a director and designated as chair for a term ending July 31, 2022,
  - (ii) Shannon Nicola Salter and Joel J. Whittemore are appointed as directors for terms ending July 31, 2021, and
  - (iii) Charles Michael Grist, Jacqueline Ann Kelly, Gerald David Matier and Wilma Simone van Norden are appointed as directors for terms ending July 31, 2023;
- (b) effective June 14, 2019, Jo-Ann Shelley Hannah, Joanne Adele Hausch and Bruce Howell are appointed as directors for terms ending July 31, 2022.

  
Minister of Finance and Deputy Premier

  
Presiding Member of the Executive Council

---

*(This part is for administrative purposes only and is not part of the Order.)*

Authority under which Order is made:

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_



382548

JUN 14 2019

Shannon Nicola Salter  
s.22

Dear Shannon Salter:

I am pleased to advise you of your appointment as a director of the BC Financial Services Authority (the Authority) for a term effective June 5, 2019 and ending July 31, 2021. A Certificate of Appointment and a copy of Order in Council 285/19 making your appointment are enclosed. As a member of the Authority, you will also be a director of the Credit Union Deposit Insurance Corporation.

Also included are indemnity forms. Please sign and return the original, retaining a copy for your files, to:

Leanne Allen, Appointments and Legislation Coordinator  
Financial and Corporate Sector Policy Branch  
Ministry of Finance  
PO Box 9418 STN PROV GOVT  
Victoria BC V8W 9V1

As you are aware, the BC Financial Services Authority, established as a Crown agency under the *Financial Services Authority Act*, must exercise and perform the duties of the Authority under that act, the *Financial Institutions Act*, *Credit Union Incorporation Act*, *Insurance Act*, *Insurance (Captive Company) Act*, *Mortgage Brokers Act* and *Pension Benefits Standards Act*. As a member of the Authority, you have a critical role to play in transitioning regulatory authority from the Financial Institutions Commission and you also have a role in making significant regulatory decisions and ensuring the Authority performs its regulatory responsibilities both efficiently and effectively and regulates the province's financial services industry in a balanced and equitable manner.

.../2



The government is pleased that you have agreed to be appointed to this very important position. Best wishes for a successful and enjoyable term.

Sincerely,

A handwritten signature in cursive script, reading "Carole James".

Carole James  
Minister and Deputy Premier

Attachments

cc: Tara Richards  
Chief Executive Officer  
Financial Institutions Commission

Stanley W. Hamilton, Chair  
Financial Institutions Commission




Canada

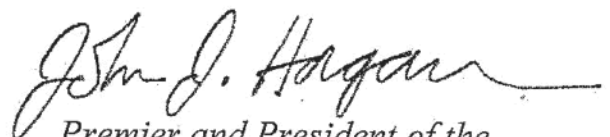
Province of British Columbia

PURSUANT to the *Financial Services Authority Act* and  
Order in Council 285, approved and ordered June 5, 2019,

**Shannon Nicola Salter**

is appointed as a director of the  
**BC Financial Services Authority**  
for a term ending July 31, 2021.

  
*Minister of Finance and  
Deputy Premier*

  
*Premier and President of the  
Executive Council*

**GOVERNMENT APPOINTEES TO AGENCIES,  
BOARDS AND COMMISSIONS INDEMNITY**

Her Majesty the Queen in Right of the Province of British Columbia (the "Province") has agreed to grant this Indemnity on the terms and conditions hereinafter set forth to Shannon Nicola Salter (the "Appointee")

who has been appointed to the BC Financial Services Authority and CUDIC (the "Entity").  
(NAME OF ENTITY)

1. Notwithstanding the actual date of execution and delivery of this Indemnity, the term of this Indemnity will be conclusively deemed to commence on the day upon which the appointment first became effective and will end on the effective date of termination of the appointment, provided however, this Indemnity will remain in full force and effect in respect of all acts or omissions covered hereunder occurring during the term.
2. Subject to sections 3, 4, and 6 of this Indemnity, the Province will indemnify and save harmless the Appointee from and against any and all claims, liabilities, losses, damages, costs, charges and expenses which the Appointee may sustain, incur or be liable for in carrying out the duties as Appointee to the Entity.
3. The Province will not be obligated to indemnify or save harmless the Appointee from and against any claim, liability, loss, damage, cost, charge, or expense arising out of any act, error or omission of the Appointee that results from the Appointee failing to act honestly or in good faith or in the best interests of the Entity, or that arises out of any act, error or omission outside the course of the Appointee's duties, or for any fine or penalty imposed on the Appointee by law, or arising out of a dishonest, fraudulent, criminal or malicious act.
4. The Province will not be obligated to indemnify or save harmless the Appointee in respect of any liability against which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance. Where a partial indemnity is provided by such insurance, the obligation of the Province will be limited to that portion of the liability for which an indemnity is not provided by such policy, subject to the conditions of this Indemnity.
5. In the event the Province indemnifies the Appointee in accordance with this Indemnity, the Province will be subrogated to all rights of the Appointee.
6. Upon the Appointee becoming aware of any pending or threatened claim, action, suit or proceeding by which the Province could become liable under this Indemnity, written notice will be given by or on behalf of the Appointee to the Province as soon as is practicable.
7. Subject to section 6 of this Indemnity, the Province will defend, at its expense, any suit, action or proceeding, against the Appointee that, if successful, would result in a liability contemplated by section 2 (except in respect of criminal proceedings or any liability for which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance).
8. Any notice, statement, other document or payment that either party may be required or may desire to give or deliver to the other will be conclusively deemed validly given or delivered to and received by the addressee, if delivered personally, on the date of such personal delivery or, if mailed, on the fifth business day after the mailing of the same in British Columbia by prepaid post addressed, or if sent by fax, on the day of transmission, if to the Province at:

PO Box 9405 Stn Prov Govt  
Victoria BC V8W 9V1

Fax Number: 250 356-6222  
Attention: Executive Director  
Risk Management Branch  
Ministry of Finance

and, if to the Appointee, at the address or fax number as shown on this Indemnity, or at such changed address/fax number as the parties will have duly notified one another.

9. This Indemnity will be construed in accordance with and be governed by the laws of the Province of British Columbia.

This indemnity is given on behalf of the Province by a duly authorized representative of the Minister of Finance and Deputy Premier  
(LIEUTENANT GOVERNOR IN COUNCIL OR MINISTER)

the form of which has been approved by the Executive Director, Risk Management Branch, Ministry of Finance.

X [Signature] (WITNESS SIGNATURE) X [Signature] (AUTHORIZED REPRESENTATIVE OF THE PROVINCE SIGNATURE)

The Appointee, Shannon Nicola Salter, of S.22  
(PRINT NAME OF APPOINTEE) (ADDRESS OF APPOINTEE AND FAX NUMBER, IF ANY)

hereby agrees to the terms of this Indemnity.

The Appointee represents and warrants to the Province that, to the best of the Appointee's knowledge, there are no actions, suits or proceedings pending or threatened that could lead to a claim, liability, loss, damage, cost, charge or expense described in section 2 of this Indemnity except:  
(INSERT "NONE" OR DESCRIPTION)

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

X \_\_\_\_\_ (WITNESS SIGNATURE) PRINT NAME AND ADDRESS X \_\_\_\_\_ (APPOINTEE SIGNATURE)

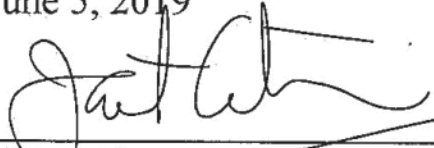
**THIS INDEMNITY CONTAINS CLAUSES THAT LIMIT COVERAGE AND REQUIRE CERTAIN PERFORMANCE BY THE APPOINTEE**

**PROVINCE OF BRITISH COLUMBIA**  
**ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL**

Order in Council No. 285

, Approved and Ordered

June 5, 2019


  
Lieutenant Governor

**Executive Council Chambers, Victoria**

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that the following appointments to the board of directors of the BC Financial Services Authority are made:

- (a) effective June 5, 2019,
  - (i) Stanley W. Hamilton is appointed as a director and designated as chair for a term ending July 31, 2022,
  - (ii) Shannon Nicola Salter and Joel J. Whittemore are appointed as directors for terms ending July 31, 2021, and
  - (iii) Charles Michael Grist, Jacqueline Ann Kelly, Gerald David Matier and Wilma Simone van Norden are appointed as directors for terms ending July 31, 2023;
- (b) effective June 14, 2019, Jo-Ann Shelley Hannah, Joanne Adele Hausch and Bruce Howell are appointed as directors for terms ending July 31, 2022.

  
Minister of Finance and Deputy Premier

  
Presiding Member of the Executive Council

*(This part is for administrative purposes only and is not part of the Order.)*

Authority under which Order is made:

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_



382548

JUN 14 2019

Wilma Simone van Norden  
s.22

Dear Wilma van Norden:

I am pleased to advise you of your appointment as a director, effective June 5, 2019 and vice chair effective June 6, 2019, of the BC Financial Services Authority (the Authority) for terms ending July 31, 2023. A Certificate of Appointment and a copy of Orders in Council 285/19 and 287/19 making your appointment are enclosed. As a member of the Authority, you will also be a director of the Credit Union Deposit Insurance Corporation.

Also included are indemnity forms. Please sign and return the original, retaining a copy for your files, to:

Leanne Allen, Appointments and Legislation Coordinator  
Financial and Corporate Sector Policy Branch  
Ministry of Finance  
PO Box 9418 STN PROV GOVT  
Victoria BC V8W 9V1

As you are aware, the BC Financial Services Authority, established as a Crown agency under the *Financial Services Authority Act*, must exercise and perform the duties of the Authority under that act, the *Financial Institutions Act*, *Credit Union Incorporation Act*, *Insurance Act*, *Insurance (Captive Company) Act*, *Mortgage Brokers Act* and *Pension Benefits Standards Act*. As a member of the Authority, you have a critical role to play in transitioning regulatory authority from the Financial Institutions Commission and you also have a role in making significant regulatory decisions and ensuring the Authority performs its regulatory responsibilities both efficiently and effectively and regulates the province's financial services industry in a balanced and equitable manner.

.../2

The government is pleased that you have agreed to be appointed to this very important position.  
Best wishes for a successful and enjoyable term.

Sincerely,

A handwritten signature in cursive script, reading "Carole James".

Carole James  
Minister and Deputy Premier

Attachments

cc: Tara Richards  
Chief Executive Officer  
Financial Institutions Commission

Stanley W. Hamilton, Chair  
Financial Institutions Commission



Canada  
Province of British Columbia

PURSUANT to the *Financial Services Authority Act* and  
Order in Council 285, approved and ordered June 5, 2019, and  
Order in Council 287, approved and ordered June 6, 2019,

**Wilma Simone van Norden**

is appointed as a director and designated as vice chair of the  
**BC Financial Services Authority**  
for a term ending July 31, 2023.

  
Minister of Finance and  
Deputy Premier

  
Premier and President of the  
Executive Council

**GOVERNMENT APPOINTEES TO AGENCIES,  
BOARDS AND COMMISSIONS INDEMNITY**

Her Majesty the Queen in Right of the Province of British Columbia (the "Province") has agreed to grant this Indemnity on the terms and conditions hereinafter set forth to Wilma Simone van Norden (the "Appointee")

who has been appointed to the BC Financial Services Authority and CUDIC (the "Entity").  
(NAME OF ENTITY)

1. Notwithstanding the actual date of execution and delivery of this Indemnity, the term of this Indemnity will be conclusively deemed to commence on the day upon which the appointment first became effective and will end on the effective date of termination of the appointment, provided however, this Indemnity will remain in full force and effect in respect of all acts or omissions covered hereunder occurring during the term.
2. Subject to sections 3, 4, and 6 of this Indemnity, the Province will indemnify and save harmless the Appointee from and against any and all claims, liabilities, losses, damages, costs, charges and expenses which the Appointee may sustain, incur or be liable for in carrying out the duties as Appointee to the Entity.
3. The Province will not be obligated to indemnify or save harmless the Appointee from and against any claim, liability, loss, damage, cost, charge, or expense arising out of any act, error or omission of the Appointee that results from the Appointee failing to act honestly or in good faith or in the best interests of the Entity, or that arises out of any act, error or omission outside the course of the Appointee's duties, or for any fine or penalty imposed on the Appointee by law, or arising out of a dishonest, fraudulent, criminal or malicious act.
4. The Province will not be obligated to indemnify or save harmless the Appointee in respect of any liability against which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance. Where a partial indemnity is provided by such insurance, the obligation of the Province will be limited to that portion of the liability for which an indemnity is not provided by such policy, subject to the conditions of this Indemnity.
5. In the event the Province indemnifies the Appointee in accordance with this Indemnity, the Province will be subrogated to all rights of the Appointee.
6. Upon the Appointee becoming aware of any pending or threatened claim, action, suit or proceeding by which the Province could become liable under this Indemnity, written notice will be given by or on behalf of the Appointee to the Province as soon as is practicable.
7. Subject to section 6 of this Indemnity, the Province will defend, at its expense, any suit, action or proceeding, against the Appointee that, if successful, would result in a liability contemplated by section 2 (except in respect of criminal proceedings or any liability for which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance).
8. Any notice, statement, other document or payment that either party may be required or may desire to give or deliver to the other will be conclusively deemed validly given or delivered to and received by the addressee, if delivered personally, on the date of such personal delivery or, if mailed, on the fifth business day after the mailing of the same in British Columbia by prepaid post addressed, or if sent by fax, on the day of transmission, if to the Province at:

PO Box 9405 Stn Prov Govt  
Victoria BC V8W 9V1

Fax Number: 250 356-6222  
Attention: Executive Director  
Risk Management Branch  
Ministry of Finance

and, if to the Appointee, at the address or fax number as shown on this Indemnity, or at such changed address/fax number as the parties will have duly notified one another.

9. This Indemnity will be construed in accordance with and be governed by the laws of the Province of British Columbia.

This indemnity is given on behalf of the Province by a duly authorized representative of the Minister of Finance and Deputy Premier  
(LIEUTENANT GOVERNOR IN COUNCIL OR MINISTER)  
the form of which has been approved by the Executive Director, Risk Management Branch, Ministry of Finance.

X [Signature] (WITNESS SIGNATURE) X [Signature] (AUTHORIZED REPRESENTATIVE OF THE PROVINCE SIGNATURE)

The Appointee, Wilma Simone van Norden (PRINT NAME OF APPOINTEE), of s.22 (ADDRESS OF APPOINTEE AND FAX NUMBER, IF ANY),

hereby agrees to the terms of this Indemnity.

The Appointee represents and warrants to the Province that, to the best of the Appointee's knowledge, there are no actions, suits or proceedings pending or threatened that could lead to a claim, liability, loss, damage, cost, charge or expense described in section 2 of this Indemnity except:  
(INSERT "NONE" OR DESCRIPTION)

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

X \_\_\_\_\_ (WITNESS SIGNATURE) PRINT NAME AND ADDRESS X \_\_\_\_\_ (APPOINTEE SIGNATURE)

**THIS INDEMNITY CONTAINS CLAUSES THAT LIMIT COVERAGE AND REQUIRE CERTAIN PERFORMANCE BY THE APPOINTEE**

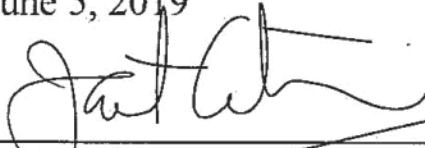


**PROVINCE OF BRITISH COLUMBIA**  
**ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL**

Order in Council No. 285

, Approved and Ordered


June 5, 2019


  
Lieutenant Governor

**Executive Council Chambers, Victoria**

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that the following appointments to the board of directors of the BC Financial Services Authority are made:

- (a) effective June 5, 2019,
  - (i) Stanley W. Hamilton is appointed as a director and designated as chair for a term ending July 31, 2022,
  - (ii) Shannon Nicola Salter and Joel J. Whittemore are appointed as directors for terms ending July 31, 2021, and
  - (iii) Charles Michael Grist, Jacqueline Ann Kelly, Gerald David Matier and Wilma Simone van Norden are appointed as directors for terms ending July 31, 2023;
- (b) effective June 14, 2019, Jo-Ann Shelley Hannah, Joanne Adele Hausch and Bruce Howell are appointed as directors for terms ending July 31, 2022.

  
Minister of Finance and Deputy Premier

  
Presiding Member of the Executive Council

---

*(This part is for administrative purposes only and is not part of the Order.)*

**Authority under which Order is made:**

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_

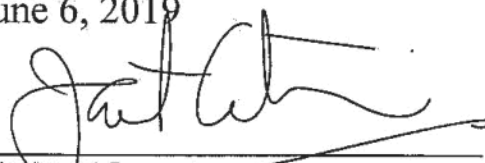
PROVINCE OF BRITISH COLUMBIA

ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL

Order in Council No. 287


, Approved and Ordered

June 6, 2019

  
Lieutenant Governor

Executive Council Chambers, Victoria

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that Wilma Simone van Norden is designated as vice chair of the board of directors of the BC Financial Services Authority for a term ending July 31, 2023.

  
Minister of Finance and Deputy Premier  
Presiding Member of the Executive Council

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*(This part is for administrative purposes only and is not part of the Order.)*

Authority under which Order is made:

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_



382548

JUN 14 2019

Joel J. Whittemore  
s.22

Dear Joel Whittemore:

I am pleased to advise you of your appointment as a director of the BC Financial Services Authority (the Authority) for a term effective June 5, 2019 and ending July 31, 2021. A Certificate of Appointment and a copy of Order in Council 285/19 making your appointment are enclosed. As a member of the Authority, you will also be a director of the Credit Union Deposit Insurance Corporation.

Also included are indemnity forms. Please sign and return the original, retaining a copy for your files, to:


Leanne Allen, Appointments and Legislation Coordinator  
Financial and Corporate Sector Policy Branch  
Ministry of Finance  
PO Box 9418 STN PROV GOVT  
Victoria BC V8W 9V1

As you are aware, the BC Financial Services Authority, established as a Crown agency under the *Financial Services Authority Act*, must exercise and perform the duties of the Authority under that act, the *Financial Institutions Act*, *Credit Union Incorporation Act*, *Insurance Act*, *Insurance (Captive Company) Act*, *Mortgage Brokers Act* and *Pension Benefits Standards Act*. As a member of the Authority, you have a critical role to play in transitioning regulatory authority from the Financial Institutions Commission and you also have a role in making significant regulatory decisions and ensuring the Authority performs its regulatory responsibilities both efficiently and effectively and regulates the province's financial services industry in a balanced and equitable manner.

.../2

The government is pleased that you have agreed to be appointed to this very important position. Best wishes for a successful and enjoyable term.

Sincerely,

A handwritten signature in cursive script, reading "Carole James".

Carole James  
Minister and Deputy Premier

Attachments

cc: Tara Richards  
Chief Executive Officer  
Financial Institutions Commission

Stanley W. Hamilton, Chair  
Financial Institutions Commission



Canada  
Province of British Columbia

PURSUANT to the *Financial Services Authority Act* and  
Order in Council 285, approved and ordered June 5, 2019,

**Joel J. Whittemore**

is appointed as a director of the  
**BC Financial Services Authority**  
for a term ending July 31, 2021.

A handwritten signature in cursive script, reading "Harold James".

*Minister of Finance and  
Deputy Premier*

A handwritten signature in cursive script, reading "John J. Horgan".

*Premier and President of the  
Executive Council*

**GOVERNMENT APPOINTEES TO AGENCIES,  
BOARDS AND COMMISSIONS INDEMNITY**

Her Majesty the Queen in Right of the Province of British Columbia (the "Province") has agreed to grant this Indemnity on the terms and conditions hereinafter set forth to Joel J. Whittemore (the "Appointee")

who has been appointed to the BC Financial Services Authority and CUDIC (the "Entity").  
(NAME OF ENTITY)

1. Notwithstanding the actual date of execution and delivery of this Indemnity, the term of this Indemnity will be conclusively deemed to commence on the day upon which the appointment first became effective and will end on the effective date of termination of the appointment, provided however, this Indemnity will remain in full force and effect in respect of all acts or omissions covered hereunder occurring during the term.
2. Subject to sections 3, 4, and 6 of this Indemnity, the Province will indemnify and save harmless the Appointee from and against any and all claims, liabilities, losses, damages, costs, charges and expenses which the Appointee may sustain, incur or be liable for in carrying out the duties as Appointee to the Entity.
3. The Province will not be obligated to indemnify or save harmless the Appointee from and against any claim, liability, loss, damage, cost, charge, or expense arising out of any act, error or omission of the Appointee that results from the Appointee failing to act honestly or in good faith or in the best interests of the Entity, or that arises out of any act, error or omission outside the course of the Appointee's duties, or for any fine or penalty imposed on the Appointee by law, or arising out of a dishonest, fraudulent, criminal or malicious act.
4. The Province will not be obligated to indemnify or save harmless the Appointee in respect of any liability against which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance. Where a partial indemnity is provided by such insurance, the obligation of the Province will be limited to that portion of the liability for which an indemnity is not provided by such policy, subject to the conditions of this Indemnity.
5. In the event the Province indemnifies the Appointee in accordance with this Indemnity, the Province will be subrogated to all rights of the Appointee.
6. Upon the Appointee becoming aware of any pending or threatened claim, action, suit or proceeding by which the Province could become liable under this Indemnity, written notice will be given by or on behalf of the Appointee to the Province as soon as is practicable.
7. Subject to section 6 of this Indemnity, the Province will defend, at its expense, any suit, action or proceeding, against the Appointee that, if successful, would result in a liability contemplated by section 2 (except in respect of criminal proceedings or any liability for which the Appointee is entitled to be indemnified pursuant to any valid and collectible policy of insurance).
8. Any notice, statement, other document or payment that either party may be required or may desire to give or deliver to the other will be conclusively deemed validly given or delivered to and received by the addressee, if delivered personally, on the date of such personal delivery or, if mailed, on the fifth business day after the mailing of the same in British Columbia by prepaid post addressed, or if sent by fax, on the day of transmission, if to the Province at:

PO Box 9405 Stn Prov Govt  
Victoria BC V8W 9V1

Fax Number: 250 356-6222  
Attention: Executive Director  
Risk Management Branch  
Ministry of Finance

and, if to the Appointee, at the address or fax number as shown on this Indemnity, or at such changed address/fax number as the parties will have duly notified one another.

9. This Indemnity will be construed in accordance with and be governed by the laws of the Province of British Columbia.

This indemnity is given on behalf of the Province by a duly authorized representative of the Minister of Finance and Deputy Premier  
(LIEUTENANT GOVERNOR IN COUNCIL OR MINISTER)

the form of which has been approved by the Executive Director, Risk Management Branch, Ministry of Finance.

X [Signature] X [Signature]  
(WITNESS SIGNATURE) (AUTHORIZED REPRESENTATIVE OF THE PROVINCE SIGNATURE)

The Appointee, Joel J. Whittemore, of S.22  
(PRINT NAME OF APPOINTEE) (ADDRESS OF APPOINTEE AND FAX NUMBER, IF ANY)

hereby agrees to the terms of this Indemnity.

The Appointee represents and warrants to the Province that, to the best of the Appointee's knowledge, there are no actions, suits or proceedings pending or threatened that could lead to a claim, liability, loss, damage, cost, charge or expense described in section 2 of this Indemnity except:  
(INSERT "NONE" OR DESCRIPTION)

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

X \_\_\_\_\_ X \_\_\_\_\_  
(WITNESS SIGNATURE) PRINT NAME AND ADDRESS (APPOINTEE SIGNATURE)

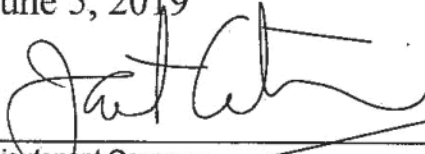
**THIS INDEMNITY CONTAINS CLAUSES THAT LIMIT COVERAGE AND REQUIRE CERTAIN PERFORMANCE BY THE APPOINTEE**

**PROVINCE OF BRITISH COLUMBIA**  
**ORDER OF THE LIEUTENANT GOVERNOR IN COUNCIL**

Order in Council No. 285

, Approved and Ordered

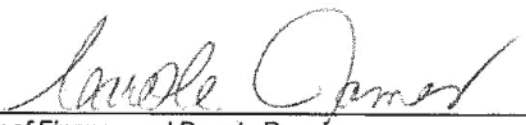
June 5, 2019


  
Lieutenant Governor

**Executive Council Chambers, Victoria**

On the recommendation of the undersigned, the Lieutenant Governor, by and with the advice and consent of the Executive Council, orders that the following appointments to the board of directors of the BC Financial Services Authority are made:

- (a) effective June 5, 2019,
  - (i) Stanley W. Hamilton is appointed as a director and designated as chair for a term ending July 31, 2022,
  - (ii) Shannon Nicola Salter and Joel J. Whittemore are appointed as directors for terms ending July 31, 2021, and
  - (iii) Charles Michael Grist, Jacqueline Ann Kelly, Gerald David Matier and Wilma Simone van Norden are appointed as directors for terms ending July 31, 2023;
- (b) effective June 14, 2019, Jo-Ann Shelley Hannah, Joanne Adele Hausch and Bruce Howell are appointed as directors for terms ending July 31, 2022.

  
Minister of Finance and Deputy Premier

  
Presiding Member of the Executive Council

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*(This part is for administrative purposes only and is not part of the Order.)*

Authority under which Order is made:

Act and section: Financial Services Authority Act, 2019, S.B.C. 2019, c. 14, s. 6

Other: \_\_\_\_\_